CENTERS FOR MEDICARE & MEDICAID SERVICES

TRO

Springtime Refresh: Exploring the Specifications Manual and Other Important Resources

Karen VanBourgondien, BSN, RN Outpatient Quality Program Systems and Stakeholder Support Team

Learning Objectives

Attendees will be able to:

- Define the program requirements for the Ambulatory Surgical Centers Quality Reporting (ASCQR) Program.
- Explain how to successfully create a HARP* account and register as a Security Official (SO).
- List and describe the sections in the program Specifications Manual.
- Describe the resources available on the <u>QualityReportingCenter.com</u> website.

*Healthcare Quality Information System (HCQIS) Access Roles and Profile (HARP)

Announcements

- The web-based measures data submission deadline is coming up.
 - You can make any edits or changes up to the deadline. After the deadline passes, no changes can be made.
- A measure-specific Frequently Asked Questions (FAQ) webinar will be presented in June.



Program Requirements

• Facilities that bill 240 or more Medicare claims per year are required to participate.

• ASCs should:

- Have an active SO registered with QualityNet.
- Collect data to submit in the Hospital Quality Reporting (HQR) platform.

CMS Rulemaking

- The Proposed Rule:
 - Contains proposed changes for the program.
 - Is typically released in July with a 60-day public comment period.
- The Final Rule:
 - Contains the finalized changes to the program.
 - Is typically released in November.

Types of Measures

- Measures submitted using a Web-Based Tool:
 - Reported annually in HQR platform.
- Claims-Based Measures:
 - Are collected via paid Medicare claims
 - Requires no manual abstraction or reporting by the facility

Web-Based Measures

- ASC-9: Appropriate Follow-Up Interval for Normal Colonoscopy in Average Risk Patients
- ASC-11*: Cataracts: Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery
- ASC-13: Normothermia Outcome
- ASC-14: Unplanned Anterior Vitrectomy

Timeline: Web-Based Measures

Payment Year 2022

Measure	Reporting Period *	Submission Period
ASC-9	January 1–December 31, 2020	January 1–May 17, 2021
ASC-11**	January 1–December 31, 2020	January 1–May 17, 2021
ASC-13	January 1–December 31, 2020	January 1–May 17, 2021
ASC-14	January 1–December 31, 2020	January 1–May 17, 2021

 * Under the Covid-19 exception, abstraction for encounters January through June was voluntary for PY 2022.
 **Voluntary

Claims-Based Measures

- ASC-12: Facility 7-Day Risk-Standardized Hospital Visit Rate after Outpatient Colonoscopy
- ASC-17: Hospital Visits After Orthopedic Ambulatory Surgery Center Procedures
- **ASC-18**: Hospital Visits After Urology Ambulatory Surgery Center Procedures
- ASC-19*: Facility-Level 7-Day Hospital Visits after General Surgery Procedures Performed at Ambulatory Surgical Centers

*Beginning with Payment Year 2024

Timeline

Payment Year 2022

Claims-Based Measure	Reporting Period
ASC-12: Facility 7-Day Risk- Standardized Hospital Visit Rate after Outpatient Colonoscopy	January 1, 2018–December 31, 2020
ASC-17: Hospital Visits After Orthopedic Ambulatory Surgery Center Procedures	January 1, 2019–December 31, 2020
ASC-18 : Hospital Visits After Urology Ambulatory Surgery Center Procedures	January 1, 2019–December 31, 2020

Other Measures

Measure	Status
ASC-1: Patient Burn	
ASC-2: Patient Fall	
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant	Suspended
ASC-4: All-Cause Hospital Transfer/Admission	
ASC-15a-15e: Outpatient and Ambulatory Surgery Consumer Assessment (OAS CAHPS)	Delayed

The QualityNet Website

CMS.gov QualityNet

Search QualityNet

Q

Welcome to QualityNet!

Your one-stop shop for CMS Quality Programs.

Subscribe to Email Updates

Log into QualityNet Secure Portal

From the Home Page

From the <u>QualityNet</u>Home Page you can:

- 1. Subscribe to email updates.
- 2. Ask a subject matter expert a question.
- 3. Register to report data.

CMS.gov QualityNet	Search QualityNet	Q	Quality Programs ~	Help ~ Log into Secure Portal Register
			Recent Ne	ews View more
Welcome to Q Your one-stop shop		ty Programs.		ends third quarter (Q3) 2020 on deadlines for select
Subscribe to Email Upda	ates -1		Dec 16, 2	2020
Log into QualityNet Secure Port	al			ecure File Transfer (Data e) Has Been Decommissioned

Available Resources

You can access HARP resources to assist you in registering for your HARP account.

Getting Started Training & G	uides Known Issues & Maintenance QualityNet Support	
Registration	Registering for HARP	
l am an HQR user	QualityNet Secure Portal (QSP) has officially been retired and replaced with hqr.cms.gov and eq Hospital Quality Reporting (HQR) and End Stage Renal Disease (ESRD) Quality Reporting, respect	-
l am an EQRS User	To log into HQR or EQRS, you must create a HCQIS Access Roles and Profile (HARP) account. HAR	
Can't find what you're looking for? Visit the Question & Answer Tools.	identity management portal provided by the Centers for Medicare and Medicaid Services (CMS). account provides you with a user ID and password that can be used to sign in to many CMS app including HQR and EQRS.	0
	For information on registering for HARP, please view the following resources:	
Resource Name		
	HARP User Guide	View
	HARP Frequently Asked Questions (FAQ)	View
	HARP Registration Training Video	View
	HARP Manual Proofing Training Video	View

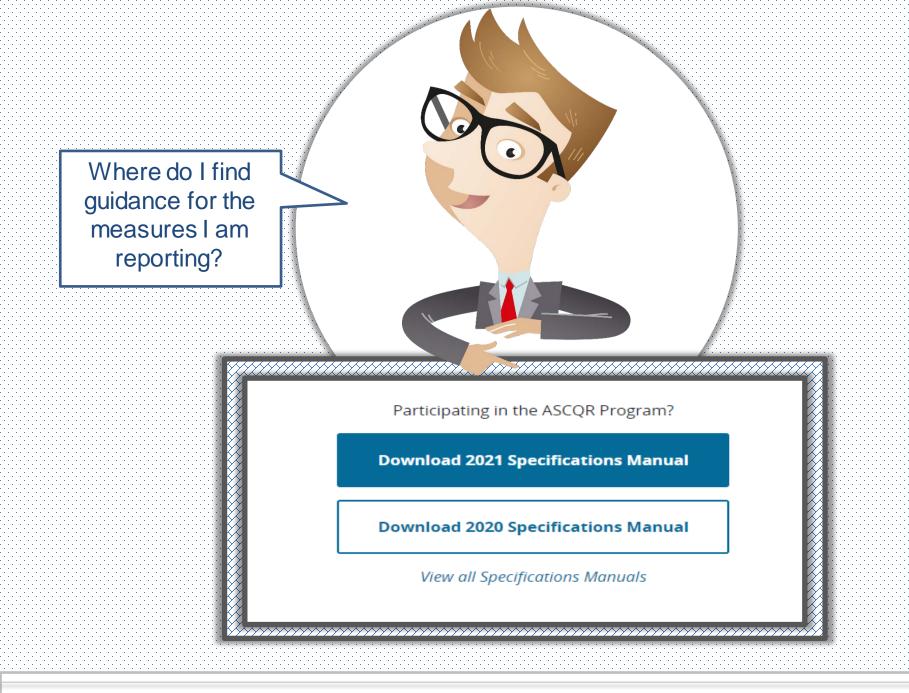
Registration Process

- CMS launched a new Access Request Form that replaces the Electronic Access Request (EAR).
 - A notification was sent last week with instructions.
- To begin the new process, you must:
 - Create a HARP ID: <u>https://harp.cms.gov.</u>
 - Log into the HQR system with your new HARP ID: <u>https://hqr.cms.gov/hqrng/login</u>.

• You will need to search your facility by NPI.

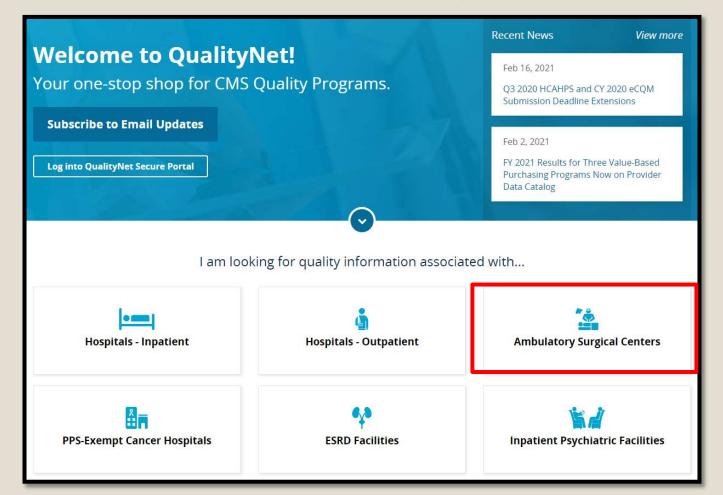
Important Tips

- Your request will need to be verified.
 - The Highest-Level Executive will be contacted to verify and authorize the individual requesting to be the facility's Security Official. If this person cannot be reached, it will delay the application process.
- If you previously submitted an EAR but have not received you SO role for your facility, you should have received an email notification letting you know that you will need to resubmit your request using the new process.
 - If you have not received your access, or received an email from us, please complete the online process indicated on the previous slide.



Choose Your Program

QualityNet.cms.gov



Locating the Specifications Manual

Home / Ambulatory Surgical Centers	
Overview Measures Public Reporting Data Submission Resources	Notifications
Ambulatory Surgical Center Overview	Participating in the ASCQR Program?
The Ambulatory Surgical Center (ASC) Program is a pay-for-reporting, quality data program finalized by the Centers for Medicare & Medicaid Services (CMS). Under this program, ASCs report quality of care data for standardized measures to receive the full annual update to their ASC annual payment rate.	Download 2021 Specifications Manual
Read more	Download 2020 Specifications Manual
	View all Specifications Manuals

Release Notes

Ambulatory Surgical Center Quality Reporting Specifications Manual

Release Notes Version: 9.0

Release Notes Completed: 06/01/2019

Guidelines for Using Release Notes

These Release Notes provide modifications to the Ambulatory Surgical Center Quality Reporting (ASCQR) Specifications Manual. They are provided as a reference tool and are not intended to be used as program abstraction tools. Please refer to the ASCQR Specifications Manual for the complete and current technical specifications and abstraction information.

The notes are organized to follow the order of the Table of Contents. Within each topic section, a row represents a change that begins with general changes and is followed by data elements in alphabetical order. The **implementation date is 01/01/2020**, unless otherwise specified. The row headings are described below:

• Impacts - Used to identify which portion(s) of the Manual Section is impacted by the change listed.

Examples are Measure Information Forms, Quality-Data Coding and Sampling Specifications, or Appendix A.

- Rationale Provided for the change being made.
- Description of Changes Used to identify the section within the document where the change occurs.

(e.g., Definitions, Numerator, and Denominator).

Release Notes are updates since the release of the previous version of the Specifications Manual. The yellow highlight indicates changes.

Table of Contents

Table of Contents
Acknowledgementii
Program Background and Requirementsiii
Section 1: Measure Information Form Introduction1-1
Measure Information Forms
ASC-9: Endoscopy/Polyp Surveillance: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients
ASC-11: Cataracts – Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery1-4
ASC-12: Facility 7-Day Risk-Standardized Hospital Visit Rate after Outpatient Colonoscopy 1-6
ASC-13: Normothermia Outcome
ASC-14: Unplanned Anterior Vitrectomy
ASC-17: Hospital Visits After Orthopedic Ambulatory Surgical Center Procedures
ASC-18: Hospital Visits After Urology Ambulatory Surgical Center Procedures
Section 2: Sampling Specifications
Section 3: Quality-Data Transmission
Appendix A: Tools and Resources
Appendix B: Preview SectionB-38

The manual is divided into sections: Section 1: Measure Information Forms Section 2: Sampling Specifications Section 3: Quality-Data Transmission Appendix A: Tools and Resources Appendix B: Preview Section

Section One

Section 1: Measure Information Form Introduction1-1
Measure Information Forms
ASC-9: Endoscopy/Polyp Surveillance: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients
ASC-11: Cataracts – Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery
ASC-12: Facility 7-Day Risk-Standardized Hospital Visit Rate after Outpatient Colonoscopy 1-6
ASC-13: Normothermia <mark>Outcome</mark> 1-11
ASC-14: Unplanned Anterior Vitrectomy1-14
ASC-17: Hospital Visits After Orthopedic Ambulatory Surgical Center Procedures
ASC-18: Hospital Visits After Urology Ambulatory Surgical Center Procedures

The Measure Information Forms (MIFs) provide detailed information fore each measure. Yellow highlight indicates a change

since the last version.

ASC-9: Measure Information Form

Measure Information Form

Performance Measure Name: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients

Measure ID #: OP-29

Measure Set: Measures submitted via a web-based tool

Description: Percentage of patients aged 50 to 75 years of age receiving a screening colonoscopy without biopsy or polypectomy who had a recommended follow-up interval of at least 10 years for repeat colonoscopy documented in their colonoscopy report.

Numerator Statement: Patients who had a recommended follow-up interval of at least 10 years for repeat colonoscopy documented in their colonoscopy report.

Denominator Statement: All patients aged 50 to 75 years of age receiving screening colonoscopy without biopsy or polypectomy.

Denominator Criteria (Eligible Cases): Patients aged ≥ 50 and ≤ 75 on date of encounter and ICD-10-CM Diagnosis code: Z12.11 and CPT or HCPCS: 44388, 45378, G0121 without CPT Category I Modifiers: 52, 53, 73, 74 without ICD-10-CM Diagnosis codes: Z83.71, Z86.010, Z80.0, Z85.038

Denominator Exclusions:

Documentation of medical reason(s) for not recommending at least a 10-year follow-up interval (e.g.

inadequate prep, familial or personal history of colonic polyps, patient had no adenoma and age is ≥ 66 years old, or life expectancy is <10years, other medical reasons). Medical reason(s) are at the discretion of the physician. Documentation indicating no follow-up colonoscopy is needed or recommended is only acceptable if the patient's age is documented as ≥ 66 years old, or life expectancy <10 years.

Documentation of a medical condition or finding can be used as a medical reason(s) for denominator exclusion purposes only if the documented recommended follow-up interval is less than 10 years. *Examples*:

- Diverticulitis documented in the medical record and a follow-up interval of 5 years in the colonoscopy report.
- Family history of colon cancer and a follow-up interval of 3 years documented in the colonoscopy report.
- Less than adequate prep documented in the medical record with a repeat colonoscopy in 3 years in the colonoscopy report.

Annual data submission period: See the timeline posted to QualityNet.org for this measure; select Hospitals-Outpatient and then Data Submission in the drop-down menu. Data entry will be achieved through the secure side of QualityNet.org via an online tool available to authorized users.

Additional instructions: Patients will be counted in the numerator if there is reference in the final colonoscopy report that the appropriate follow-up interval for the repeat colonoscopy is at least 10 years from the date of the current colonoscopy (i.e., the colonoscopy performed during the measurement period). A range that includes "10 years" (e.g., 7 to 10 years) is not acceptable.

Numerator Specifics

Numerator Statement: Patients who had a recommended follow-up interval of at least 10 years for repeat colonoscopy documented in their colonoscopy report

• All cases that meet the numerator statement will be the numerator.

Denominator Specifics

- **Denominator Statement:** All patients aged 50 to 75 years of age receiving screening colonoscopy without biopsy or polypectomy
- To determine the denominator, you will refer to the MIF using the denominator statement, denominator criteria, and denominator exclusions.
- All cases that meet these criteria will be your total population.

Our example total population is 786 cases.

Section 2

Sampling Specifications

ASC-9, ASC-11*, and ASC-13 – The sampling size specifications for ASC-9, ASC-11*, and ASC-13 have been established and are specified in the table below.

Table 3: Sample size requirements per year per ASC for Endoscopy/Polyp Surveillance (ASC-9) or Cataracts (ASC-11*) measures, or Normothermia Outcome (ASC-13).**

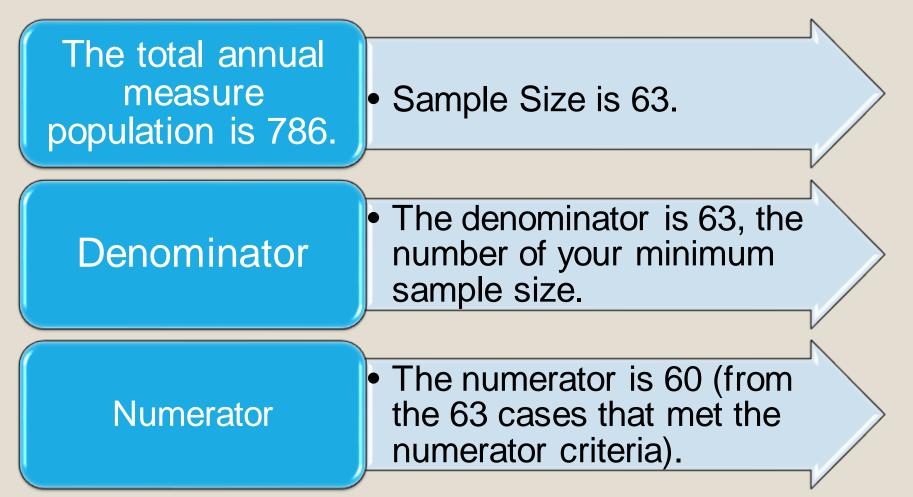
Population Per Year	0-900
Yearly Sample Size	63
Quarterly Sample Size	16
Monthly Sample Size	6
Population Per Year	≥ 901
Population Per Year Yearly Sample Size	≥ 901 96
•	

*Submission of data for ASC-11 is voluntary.

**For ASCs with fewer than 63 cases, the total population of cases is required.

For 786 cases, your minimum sample size is 63.

Putting It Together



Example Submission

Please enter zeros for this measure as I have no data to submit
Numerator
* Patients who had a recommended follow-up interval of at least 10 years for repeat colonoscopy documented in their colonoscopy report 60 Denominator
 All patients aged 50 to 75 years of age receiving screening colonoscopy without biopsy or polypectomy 63 Population
What was your hospital's Total Population?
63 What was your hospital's sampling frequency?
O Monthly Quarterly Not Sampled
N/A

Our example case is described as having a:

- Total population of 786.
- Sample size of 63, according to Table 3 in the manual.
- Denominator of 63.
- Numerator of 60, as 60 cases • out of the sample size of 63 cases met the numerator criteria.

Section Three

- Quality Data Transmission:
 - Guidelines for submission of data
 - Provides the batch submission file layout
 - Data upload process
 - A detailed webinar on data submission into the HQR platform was provided in February. Access the webinar at <u>QualityReportingCenter.com.</u>

Appendix A

Appendix A:	Tools and	Resources
-------------	------------------	-----------

Alphabetical Tools and Resources List

Measure Name	Page #
ASC-9 : Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients-Algorithm	A-29
ASC-9: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients-Data Collection Tool	A-30
ASC-9: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients-Denominator Codes	A-31
ASC-9: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients-Fact Sheet	A-32
ASC-11: Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery-Data Collection Log	A-33
ASC-13: Normothermia Outcome-Algorithm	A-34
ASC-13: Normothermia Outcome-Example Questions	A-35

By selecting the various options, you will be given guidelines, tools, fact sheets, algorithms, examples and guidance.

ASC-9: Data Collection Tool

ASC-9: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients-Data Collection Tool

Answer the questions in the tables below to determine whether colonoscopy patients fall into the measures indicated, keeping in mind that ASC-9 looks forward to recommendations for future care.

ASC- 9 ASC-9: Appropriate Follow-up Interval for Normal Colonoscopy in Average Risk Patients		
	One	Determination
1. Patient had a screening colonoscopy, without biopsy	Yes>	Include in <i>denominator</i> population,
or polypectomy, and is ≥ 50 to ≤ 75 years of age on		continue to 1(a)
date of encounter	$N_0 \longrightarrow$	Exclude from <i>denominator</i>
		population
a) Documentation of medical reason(s) for not	Yes>	Exclude from <i>denominator</i>
recommending at least a 10-year follow-up		population
interval (e.g., above average risk patient or		
inadequate prep or if age is documented as a	No>	Continue to Question 2
medical reason)		
2. Recommended follow-up interval of at least 10 years	Yes>	Include in <i>numerator</i> population
for repeat colonoscopy is documented in colonoscopy		• •
report	No>	Exclude from <i>numerator</i> population

ASC-13: Example Questions

Scenario 3

Private pay patient received **general** anesthesia Anesthetist documented the start time as **0730** The anesthetist documented the end time as **0825** Patient's arrival time into PACU was documented as **0832** Patient's body temperature at **0837** was **97.8°F**

Denominator criteria met? No

X The anesthesia duration time is not equal to or greater than **60** minutes; therefore, this patient should **not** be included in the measure.

Scenario 4

Medicare patient started epidural in pre-op holding at **0800** Patient entered the operating suite at **0810** Documented End time of anesthesia was **0905** Patient's body temperature recorded at **0920** was **96.5°F** Nurse Practitioner documented intentional hypothermia for the procedure

Denominator criteria met? No

X The documentation of intentional hypothermia is a Denominator Exclusion and excludes this case from the population; therefore, this patient should **not** be included in the measure.

Appendix B

Appendix B: Preview Section

The Preview Section provides information on new measures. The information provided in this section should not be programmed or submitted. The measure(s) identified in this section are not currently collected.

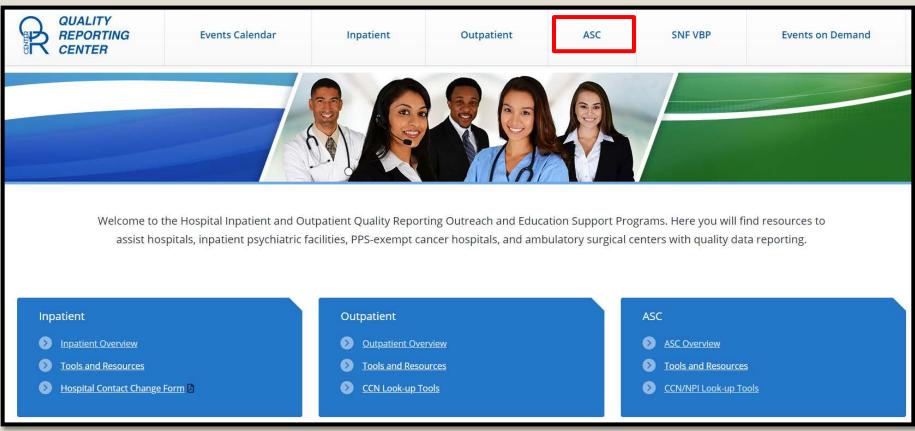
No new measures have been introduced for the CY 2023.

No new measures were added during the last rulemaking cycle.

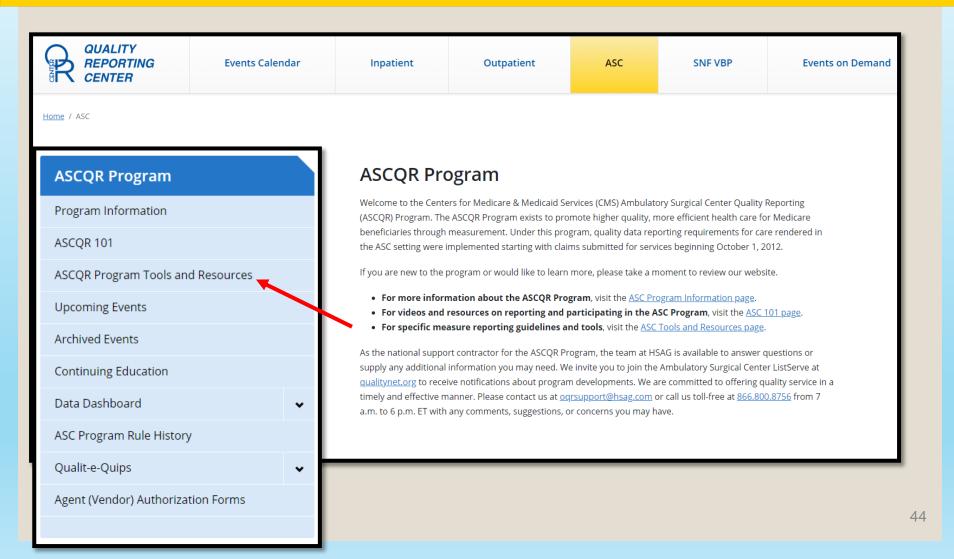


The Homepage

QualityReportingCenter.com



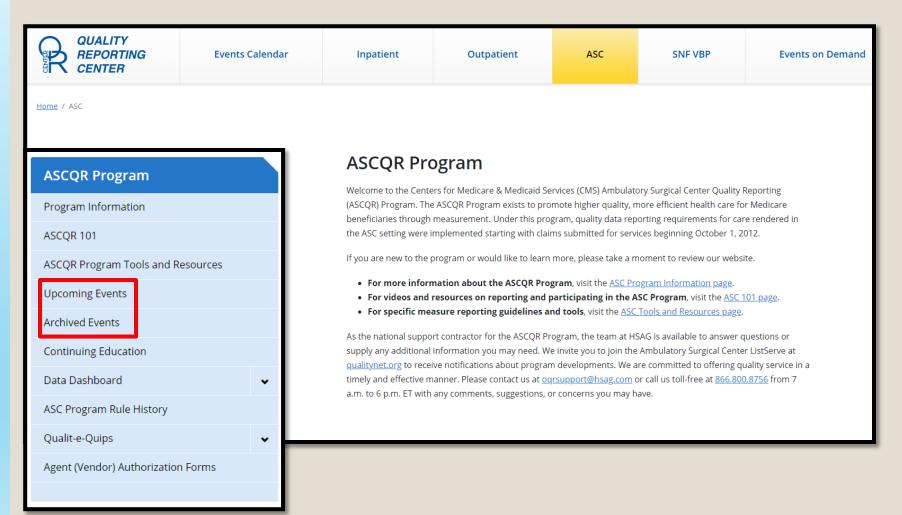
Resources Available



Tools and Resources

ASCQR Program Tools and Resources Public Reporting ASCOR Preview Report Quick Reference Guide 🖪 A quick reference guide for your facility's publicly reported data **Program Resources** Successful Reporting in the ASCQR Program: A Step-by-Essential information for those new to the ASCQR Program Step Guide for New Facilities 🖪 ASCOR Reference Checklist Summary of the current year's program requirements Immediate feedback to your questions and a searchable database of past **ASCOR Questions & Answers Tool** responses ASCOR Frequently Asked Questions FAQs about the ASCQR Program ASC ListServe Registration Email sign-up to receive the most up-to-date information and education Program information and access to data submission portal and reports ASCs on QualityNet CMS Extraordinary Circumstances Exceptions Please visit QualityNet.org **Guidelines and Form** ASC Contact Change Form Update your facility's contact information Ambulatory Surgical Center Quality Reporting Program Resources for new quality reporting professionals 101

Next Category



Archived Events

Archived Events

System Updates: Ambulatory Surgical Center Quality Reporting (ASCQR) Program

2/17/2021

Description: This event will walk participants through the new Hospital Quality Reporting (HQR) platform and include step-by-step instructions for entering data, Access Management, Vendor Managemen...

<u>Quality Reporting for Hospital Outpatient Departments and Ambulatory Surgical Centers:</u> <u>CY 2021 Program Finalized Proposals</u>

1/27/2021

Description: Join us as the Program Lead for CMS's Hospital Outpatient Quality Reporting (OQR) Program presents the Calendar Year (CY) 2021 Final Rule, discusses the program's finalized proposals,...

Cyberattacks in Healthcare

11/18/2020

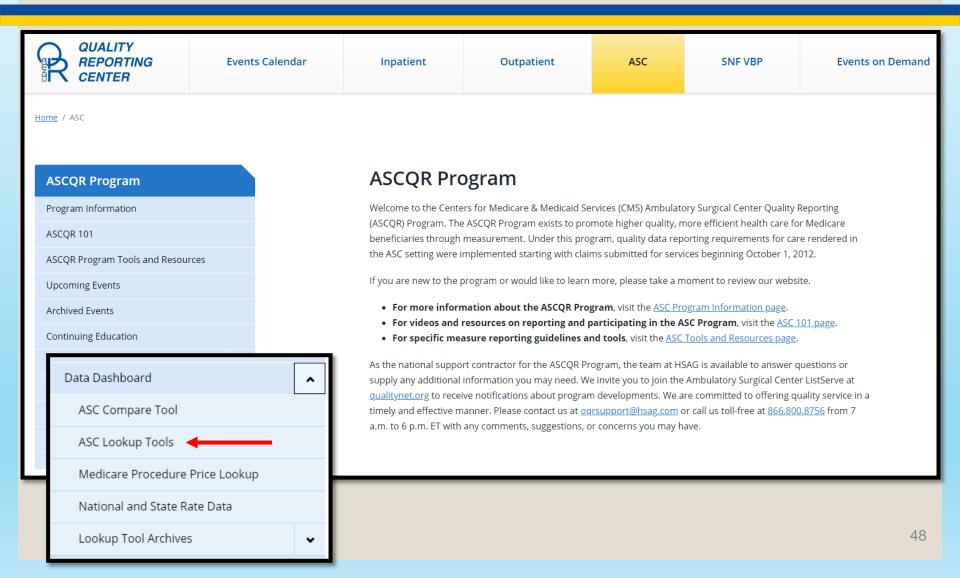
Description: Join us for this exciting review of security standards in the protection of electronic Protected Health Information (ePHI). We will review case studies and discuss strategies to redu...

<u>Quality Reporting for Hospital Outpatient Departments and Ambulatory Surgical Centers:</u> <u>CY 2021 Program Proposals</u>

9/9/2020

Description: The Program Lead from the Centers for Medicare & Medicaid Services (CMS) will be presenting the Calendar Year (CY) OPPS/ASC 2021 Proposed Rule. We will cover the proposed changes for...

Data Dashboard



Lookup Tools

ASC Facility and CCN Lookup	
ASC CCN (third digit is a "C")	
CCN	Enter
OR	
ASC NPI	
123456789	Enter
Enter your facility's National Provider Identifier (NPI) or CMS Certification Number (CO above.	CN) into the field
Note: Data updated on March 18, 2021	

Your Results

The Yes next to the measure indicates a successful submission.

Web-Based Measures Information:

CCN: 12C12345678

- ASC 9 Submitted: YES
- ASC 11 Submitted: YES
- ASC 13 Submitted: YES
- ASC 14 Submitted: YES

SUMMARY

Remember These Tips

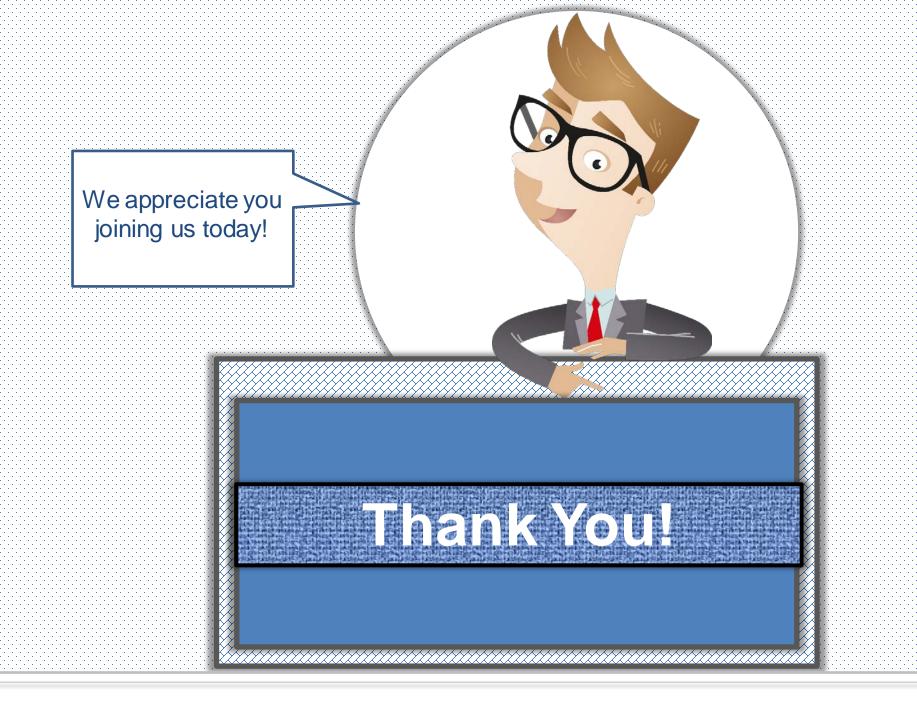
- Identify and maintain a Security Official.
 - Having two active SOs is highly recommended.
- Collect data for web-based measures and submit these data by the deadline.
- Please use the program Specifications Manual to ensure you are abstracting correctly.
- <u>QualityReportingCenter.com</u> is full of useful tools and resources.

Resources

- Today's presentation can be found on <u>www.QualityReportingCenter.com</u>.
- For HQR login issues, contact *QualityNet* Support:
 - E-mail: <u>qnetsupport@hcqis.org</u>
 - Phone: 866.288.8912
- For program-related questions, call the support contractor help desk.
 - Phone: 866.800.8756

Questions





Continuing Education (CE) Approval

This program has been approved for one CE credit for the following boards:

- National credit
 - Board of Registered Nursing (Provider #16578)
- Florida-only credit
 - Board of Clinical Social Work, Marriage & Family Therapy and Mental Health Counseling
 - Board of Registered Nursing
 - Board of Nursing Home Administrators
 - Board of Dietetics and Nutrition Practice Council
 - Board of Pharmacy

Note: To verify CE approval for any other state, license, or certification, please check with your licensing or certification board.

Acronyms

ASC	Ambulatory Surgical Center	HARP	Healthcare Quality Information System (HCQIS) Access Roles and Profile
ASCQR	Ambulatory Surgical Centers Quality Reporting	HQR	Hospital Quality Reporting
CCN	CMS Certification Number	MIF	Measure Information Form
CE	Continuing Education	OASCAHPS	Outpatient and Ambulatory Surgery Consumer Assessment
CMS	Centers for Medicare & Medicaid Services	ΡΥ	Payment Year
FAQ	Frequently Asked Question	SO	Security Official

Disclaimer

This presentation was current at the time of publication and/or upload to the Quality Reporting Center or *QualityNet* websites. If Medicare policy, requirements, or guidance changes following the date of posting, this presentation will not necessarily reflect those changes; given that it will remain as an archived copy, it will not be updated.

This presentation was prepared as a service to the public and is not intended to grant rights or impose obligations. Any references or links to statutes, regulations, and/or other policy materials are provided as summary information. No material contained herein is intended to replace either written laws or regulations. In the event of any discrepancy between the information provided by the presentation and any information included in any Medicare rules and/or regulations, the rules or regulations shall govern. The specific statutes, regulations, and other interpretive materials should be reviewed independently for a full and accurate statement of their contents.