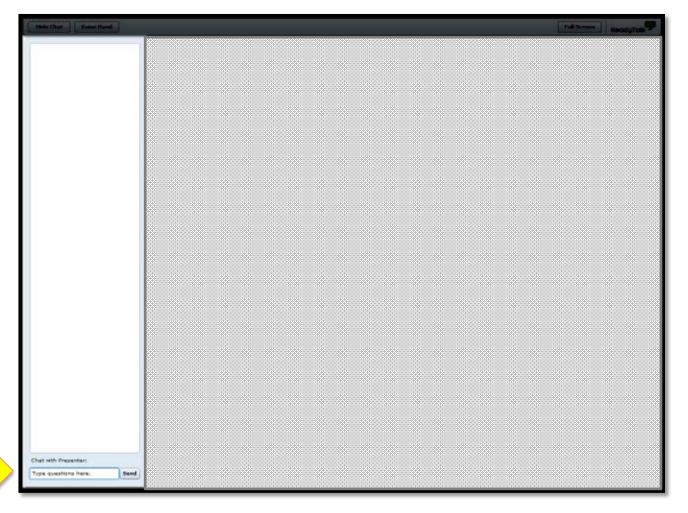
CY 2016 OPPS/ASC Proposed Rule: Ambulatory Surgical Center Quality Reporting Program

- Audio for this event is available via internet streaming.
- No telephone line is required.
- Computer speakers or headphones are necessary to listen to streaming audio.

Submitting Questions

Type questions in the "Chat with Presenter" section, located in the bottom-left corner of your screen.





CY 2016 OPPS/ASC Proposed Rule: Ambulatory Surgical Center Quality Reporting (ASCQR) Program

Anita Bhatia, PhD, MPH
Centers for Medicare & Medicaid Services
July 22, 2015

Learning Objectives

At the conclusion of the program, attendees will be able to:

- Understand an overview of the ASCQR Program
- Identify where to find the Calendar Year (CY) 2016
 OPPS/ASC proposed rule text and how to comment
- Understand proposed changes to the ASCQR Program
- Provide feedback on proposals, have concerns addressed, and ask questions

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CY 2016 OPPS/ASC Proposed Rule



Ambulatory Surgical Center Quality Reporting (ASCQR) Program

Anita Bhatia, PhD, MPH Program Lead, ASCQR Program Centers for Medicare & Medicaid Services (CMS)

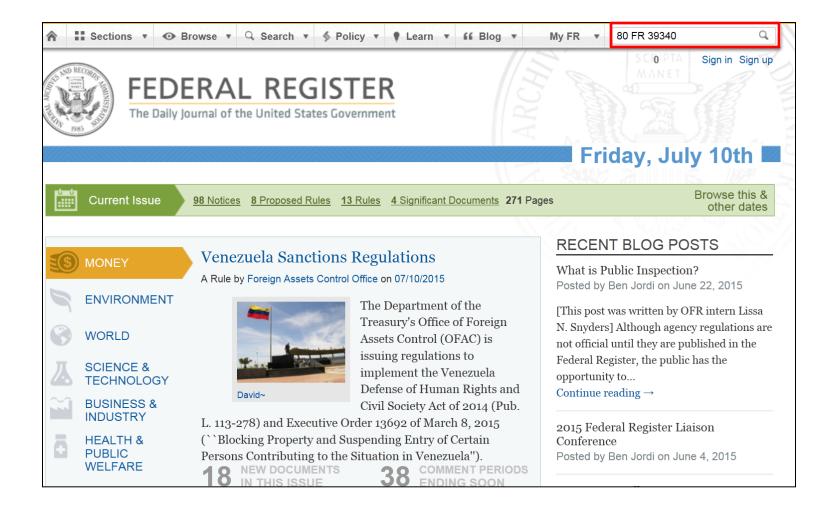
Proposed Rule CY 2016

Locating the Rule

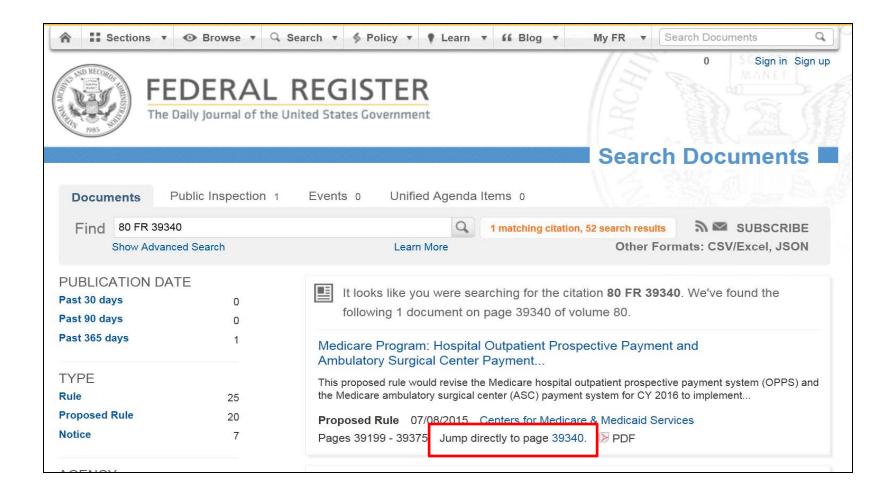
ASCQR Program Rule History

Rule	Federal Register (FR) Reference	Program Highlights
CY 2015 OPPS/ASC	80 FR 39340	2 measures under consideration
CY 2015 OPPS/ASC	79 FR 41044	1 new claims-based measure
CY 2014 OPPS/ASC	78 FR 75122	3 web-based measures
CY 2013 OPPS/ASC	77 FR 68492	No additional measures
FY 2013 IPPS/LTCH PPS	77 FR 53637	Finalized requirements
CY 2012 OPPS/ASC	79 FR 74492	Finalized 8 measures
CY 2011 OPPS/ASC	75 FR 72109	Discussed, not implemented
CY 2010 OPPS/ASC	74 FR 60656	Discussed, not implemented
CY 2009 OPPS/ASC	73 FR 68780	Discussed, not implemented

Navigating the Federal Register (1 of 6)



Navigating the Federal Register (2 of 6)



Navigating the Federal Register (3 of 6)

We are inviting public comments on these proposals.

XIV. Requirements for the Ambulatory Surgical Center Quality Reporting (ASCQR) Program Back to Top

A. Background

1. Overview

We refer readers to section XIII.A.1. of this proposed rule for a general overview of our quality reporting programs.

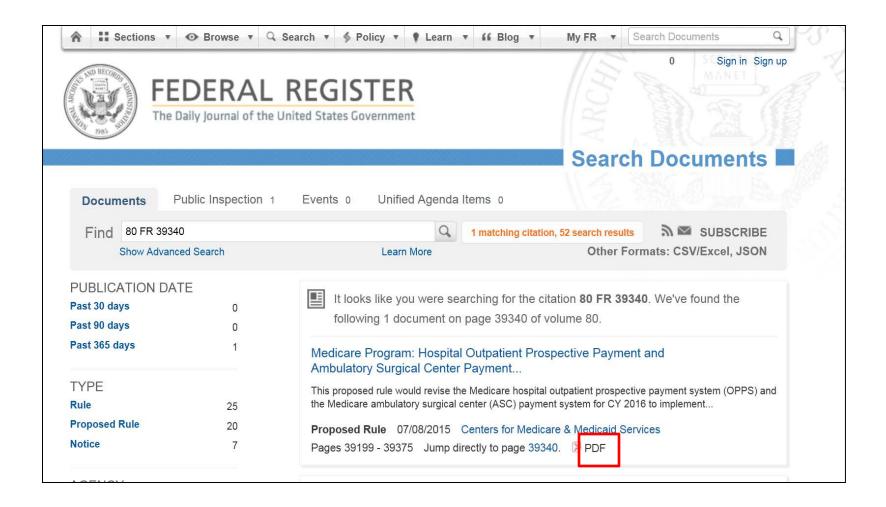
2. Statutory History of the Ambulatory Surgical Center Quality Reporting (ASCQR) Program

We refer readers to section XIV.K.1. of the CY 2012 OPPS/ASC final rule with comment period (76 FR 74492 through 74494) for a detailed discussion of the statutory history of the ASCQR Program.

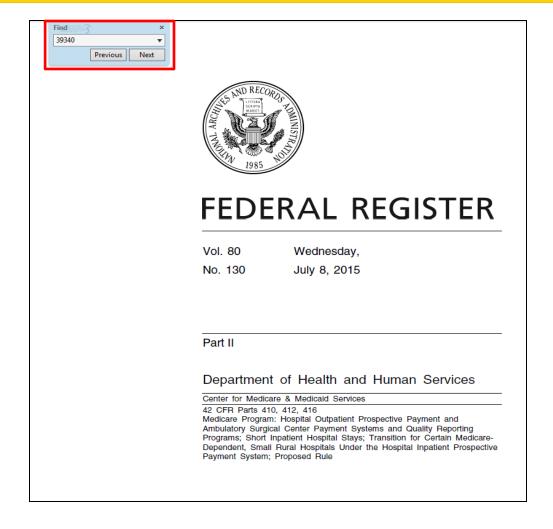
3. Regulatory History of the ASCQR Program

We refer readers to section XV.A.3. of the CY 2014 OPPS/ASC final rule with comment period (78 FR 75122) for an overview of the regulatory history of the ASCQR Program, and to section

Navigating the Federal Register (4 of 6)



Navigating the Federal Register (5 of 6)



Navigating the Federal Register (6 of 6)

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national unadjusted payment rates for hospitals that fail to meet Hospital OOR Program requirements, with the exception of services assigned to New Technology APCs with assigned status indicator "S" or "T." We refer readers to the CY 2009 OPPS/ASC final rule with comment period (73 FR 68770 through 68771) for a discussion of this

The OPD fee schedule increase factor is an input into the OPPS conversion factor, which is used to calculate OPPS payment rates. To reduce the OPD fee schedule increase factor for hospitals that fail to meet reporting requirements. we calculate two conversion factors—a full market basket conversion factor (that is, the full conversion factor), and a reduced market basket conversion factor (that is, the reduced conversion factor). We then calculate a reduction ratio by dividing the reduced conversion factor by the full conversion factor. We refer to this reduction ratio as the "reporting ratio" to indicate that it applies to payment for hospitals that fail to meet their reporting requirements. Applying this reporting ratio to the OPPŠ payment amounts results in reduced national unadjusted payment rates that are mathematically equivalent to the reduced national unadjusted payment rates that would result if we multiplied the scaled OPPS relative payment weights by the reduced conversion factor. For example, to determine the reduced national unadjusted payment rates that applied to hospitals that failed to meet their quality reporting requirements for the CY 2010 OPPS, we multiplied the final full national unadjusted payment rate found in Addendum B of the CY 2010 OPPS/ASC final rule with comment period by the CY 2010 OPPS final reporting ratio of 0.980 (74 FR 60642).

In the CY 2009 OPPS/ASC final rule with comment period (73 FR 68771 through 68772), we established a policy that the Medicare beneficiary's

copayments is calculated according to § 419.41 of our regulations, prior to any adjustment for a hospital's failure to meet the quality reporting standards according to § 419.43(h). Beneficiaries and secondary payers thereby share in the reduction of payments to these

In the CY 2009 OPPS/ASC final rule with comment period (73 FR 68772), we established the policy that all other applicable adjustments to the OPPS national unadjusted payment rates apply when the OPD fee schedule increase factor is reduced for hospitals that fail to meet the requirements of the Hospital OOR Program, For example. the following standard adjustments apply to the reduced national unadjusted payment rates: the wage index adjustment; the multiple procedure adjustment; the interrupted procedure adjustment; the rural sole community hospital adjustment; and the adjustment for devices furnished with full or partial credit or without cost. Similarly, OPPS outlier payments made for high cost and complex procedures will continue to be made when outlier criteria are met. For hospitals that fail to meet the quality data reporting requirements, the hospitals' costs are compared to the reduced payments for purposes of outlier eligibility and payment calculation. We established this policy in the OPPS beginning in the CY 2010 OPPS/ASC final rule with comment period (74 FR 60642). For a complete discussion of the OPPS outlier calculation and eligibility criteria, we refer readers to section II.G. of this proposed rule.

2. Proposed Reporting Ratio Application and Associated Adjustment Policy for CY 2016

We are proposing to continue our established policy of applying the reduction of the OPD fee schedule increase factor through the use of a reporting ratio for those hospitals that

proposed status indicator assignment of "S" and "T"). We note that, discussed in sections II.A.2.e. of the CY 2015 OPPS/ASC final rule with comment period (79 FR 66962), we finalized our proposal to develop status indicator "J1" as part of our CY 2015 comprehensive APC policy, and to apply the reporting ratio to the comprehensive APCs. We are proposing to continue to exclude services paid under New Technology APCs. We are proposing to continue to apply the reporting ratio to the national unadjusted payment rates and the minimum unadjusted and national unadjusted copayment rates of all applicable services for those hospitals that fail to meet the Hospital OQR Program reporting requirements. We also are proposing to continue to apply all other applicable standard adjustments to the OPPS national unadjusted payment rates for hospitals that fail to meet the requirements of the Hospital OOR Program. Similarly, we are proposing to continue to calculate OPPS outlier eligibility and outlier payment based on the reduced payment rates for those hospitals that fail to meet the reporting requirements.

We are inviting public comments on

XIV. Requirements for the Ambulatory Surgical Center Quality Reporting (ASCQR) Program

A. Background

1. Overview

We refer readers to section XIII.A.1. of this proposed rule for a general overview of our quality reporting programs.

2. Statutory History of the Ambulatory Surgical Center Quality Reporting (ASCOR) Program

We refer readers to section XIV.K.1. of the CY 2012 OPPS/ASC final rule with comment period (76 FR 74492 through

Proposed Rule CY 2016

Measures Under Consideration

Measures Under Consideration

- Normothermia Outcome
- Unplanned Anterior Vitrectomy

Normothermia Outcome

This measure assesses the percentage of patients having surgical procedures under general or neuraxial anesthesia of 60 minutes or more in duration who are normothermic within 15 minutes of arrival in the postanesthesia care unit.

- Impairment of thermoregulatory control due to anesthesia may result in perioperative hypothermia.
- Perioperative hypothermia is associated with numerous adverse outcomes, including cardiac complications, surgical site infections, impaired coagulation, and colligation of drug effects.

Normothermia Outcome

- When intraoperative normothermia is maintained, patients experience fewer adverse outcomes and their overall costs are lower.
- Addresses a significant area of medical care provided by ASCs and the Measures Application Partnership (MAP)identified priority measure gap of anesthesia-related complications.
- Specifications for this measure for the ASC setting can be found at

http://ascquality.org/documents/ASC_QC_ImplementationGuide_3.0_January_2015.pdf.

Unplanned Anterior Vitrectomy

This measure assesses the percentage of cataract surgery patients who have an unplanned anterior vitrectomy (removal of the vitreous present in the anterior chamber of the eye).

- An unplanned anterior vitrectomy is performed when vitreous inadvertently prolapses into the anterior segment of the eye during cataract surgery.
- Although unplanned anterior rates are relatively low, this procedure complication may result in poor visual outcome, retinal detachment, and other complications.

Unplanned Anterior Vitrectomy

- Addresses a significant area of medical care provided by ASCs (cataract surgery) and the MAPidentified priority measure gap of procedure complications for the ASCQR Program.
- Specifications for this measure for the ASC setting can be found at:

http://ascquality.org/documents/ASC_QC_ImplementationGuide_3.0_January_2015.pdf.

Measures Under Consideration

- Both measures have received conditional support from the MAP, pending the completion of reliability testing and National Quality Forum (NQF) endorsement.
- CMS invites public comment on the possible inclusion of these measures in the ASCQR Program measure set in the future.
- A summary of the MAP recommendations can be found at this website:

http://www.qualityforum.org/setting_priorities/partnership/measure_applications_partnership.aspx.

Proposed Rule CY 2016

Existing Policies and Proposed Changes

Public Reporting of ASCQR Program Data: Previously Finalized

- Previously, CMS finalized a policy to display the data at the CMS Certification Number (CCN) level in the CY 2012 OPPS/ASC final rule with comment period (76 FR 74514 through FR 74515).
- Generally, ASCs report quality measure data to CMS using their National Provider Identifier (NPI), which is their billing identifier on the CMS-1500 form as non-institutional billers. Additionally, payment determinations also are made by NPI.
- Because an ASC CCN can have multiple NPIs, publication of data by CCN can aggregate data for multiple facilities, thereby reducing identification of the individual facility.

Public Reporting of ASCQR Program Data: Update

- CMS proposed display of data according to NPI when data are submitted based on NPI to allow for identification of individual facility information, beginning with public reporting that occurs after January 1, 2016.
- This change will allow the public to distinguish between facilities, and will also help ASCs to better understand their performance on measures collected under the ASCQR Program.
- CMS invites public comment on our proposal to display data by NPI if the data are submitted by NPI and to display data by CCN if the data are submitted by CCN, and to codify this policy and our existing policies.

Administrative Requirements: Previously Finalized

- A Security Administrator is required to submit quality data to the QualityNet website via a web-based tool at www.qualitynet.org.
- For successful reporting, 50 percent of claims meeting measure specifications must contain appropriate Quality Data Codes (QDCs).
- Established a minimum case volume of 240 Medicare claims (primary and secondary) per year.
- Six web-based measures are reported via web-based tools: QualityNet and NHSN.
- No proposed changes to any of these policies.

CMS proposes to codify these existing requirements.

Administrative Requirements: Previously Finalized

- Once an ASC submits any quality measure data on a Medicare claim, it would be considered as participating.
- An ASC that is participating and wishes to withdraw from the ASCQR Program must fill out an online withdrawal form available at www.qualitynet.org.
- An ASC that withdraws will incur a 2 percent reduction in its annual payment update and any subsequent year the ASC is not participating.
- Any and all quality measure data submitted could be made publicly available for the ASCs participating in the program.

Data Submission: Previously Finalized

- In the CY 2014 OPPS/ASC final rule with comment period, the data collection period for quality measures for which data are submitted via an online data submission tool as services furnished during the calendar year is two years prior to the finalized payment determination year.
- Previously finalized data would be submitted during the time period of January 1 to August 15 in the year prior to the affected payment determination year (78 FR 75137 through 75139).
- National Health Safety Network (NHSN) Influenza Vaccination Coverage among Healthcare Personnel data collection for CY 2016 payment is October 1, 2014 through March 31, 2015, with a submission deadline of May 15 of the year when the influenza season ends.

Data Submission: Update

- CMS is proposing to implement a May 15 deadline for all data submitted via a web-based tool in the ASCQR Program for the CY 2017 payment determination and subsequent years.
 - This includes the following measures: ASC-6, ASC-7, ASC-8, ASC-9, ASC-10, and ASC-11.
- CMS believes this deadline change will allow for earlier public reporting of measure data. This also will decrease the administrative burden for ASCs with multiple tracking submission deadlines.

Indian Health Services (IHS): Previously Finalized

- IHS facilities have been considered to be ASCs for purposes of the ASCQR Program due to their payment under the ASC payment system.
- IHS hospital outpatient departments are able to bill Medicare for ASC services and be paid based on the ASC Rates for Services under the ASC payment system.

IHS: Update

- CMS is now proposing that these facilities not be considered ASCs for the purposes of the ASCQR Program, beginning with the CY 2017 payment determination.
- To bill for ASC services, these IHS hospital outpatient departments must meet the conditions of participation for hospitals defined in 42 CFR, Part 482, and are not certified as separate ASC entities.
- CMS is proposing that these facilities not be considered ASCs for purposes of the ASCQR Program, beginning with the CY 2017 payment determination. There is also a proposal to codify this at the proposed new 42 CFR 416.305(d).

Extraordinary Circumstances: Previously Finalized

- Extraordinary Circumstances Extension or Exemptions
- No changes are being proposed to these requirements; however, there is a proposal to codify these existing procedures at the proposed new 42 CFR 416.310(e).

Reconsideration Process: Previously Finalized

- Reconsideration process details are available at www.qualitynet.org.
- Under the current reconsideration process, facilities are required to submit reconsideration requests by March 17 of the affected payment determination year (79 FR 53643).
- In some payment years, March 17 may fall outside of the business week.
- There is no appeal of any final ASCQR Program payment determination.

Reconsideration Process: Update

 CMS is proposing that, beginning with the CY 2017 payment determination, ASCs must submit a reconsideration request to CMS no later than the first business day on or after March 17 of the affected payment year. This is determined using the date the request was mailed or submitted to CMS.

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Proposed Rule CY 2016

Commenting

Submitting Comments

- Comments must be received no later than 5 p.m.
 EST on August 31, 2015 if delivered by regular mail, express or overnight mail, or by hand or courier.
- Comments submitted electronically via regulations.gov will be accepted until 11:59 p.m. EST.
- CMS encourages submission of electronic comments to <u>www.regulations.gov</u>.
- Responses to comments will be in the final rule, to be issued in November 2015.

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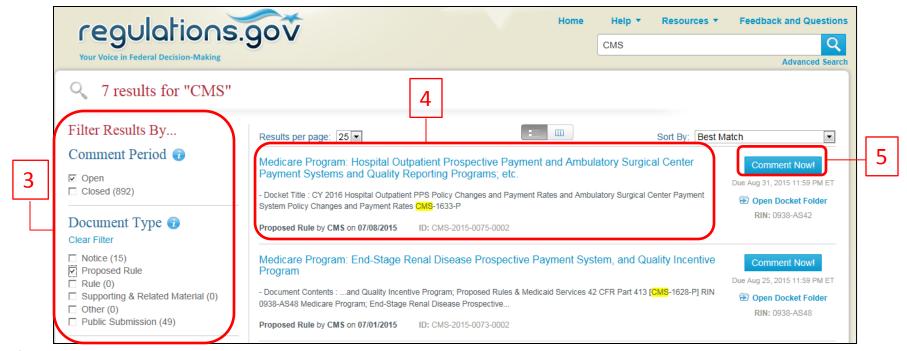
Submitting Comments

- 1. Enter CMS in the [Search for] box.
- 2. Select the [Search] button.



Submitting Comments

- 3. Filter: Comment Period = *Open*; Document Type = *Proposed Rule*
- 4. Scroll: Medicare Program: Hospital Outpatient Prospective Payment and Ambulatory Surgical Center Payment Systems and Quality Reporting Programs; etc.
- 5. Select the [Comment Now] button.



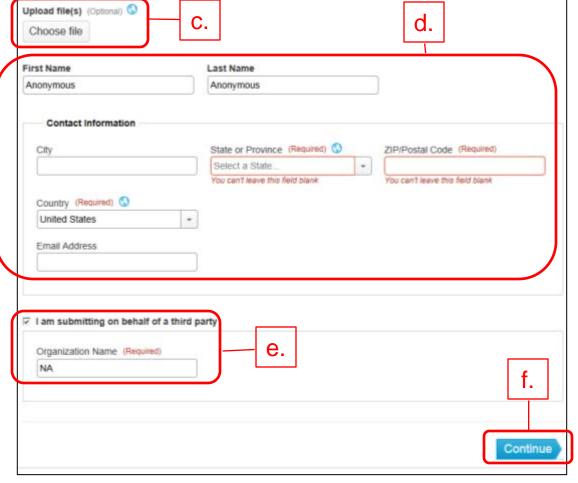
Comment on Proposed Rule: Step 1

The system will guide you through a three-step comment process.

- **Step 1.** Enter your comment and contact information.
 - a. Required fields have (Required) next to the field name.
 - b. Comments can be up to 5,000 characters.



Comment on Proposed Rule: Step 1 (cont.)

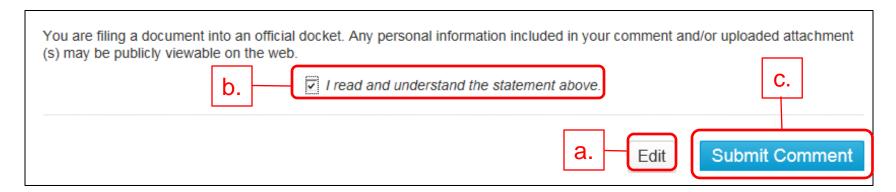


- c. You can upload a file if you wish.
- d. Enter your contact information.
- e. If submitting a comment on behalf of a third party, enter the organization's name.
- f. When finished entering your comment and contact information, select the [Continue] button.

Comment on Proposed Rule: Step 2

Step 2. Your Preview: Shows how your comment* and information** will appear on *regulations.gov*.

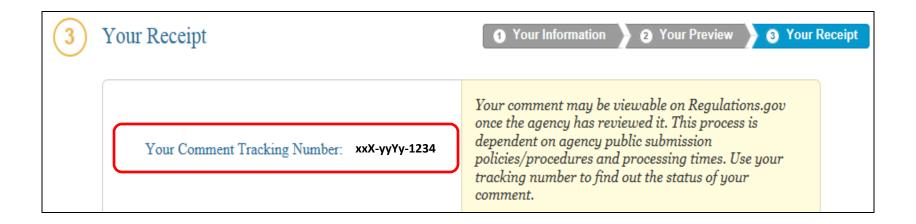
- *Your Comment, files you uploaded, Country, and State or Province *will appear* on Regulations.gov.
- **Your Name, ZIP/Postal Code, and Organization Name *will not appear* on Regulations.gov.
- a. Select the [Edit] button to edit your comment and contact information.
- When finished previewing, check the box to acknowledge that you have read and understand the provisions of commenting.
- c. If all information is correct, select the [Submit Comment] button.



Comment on Proposed Rule: Step 3

Step 3. Your Receipt:

Your comment is assigned a tracking number. Take a screenshot of this page or save your tracking number. You can use your tracking number to find out the status of your comment.



Questions?

Proposed Rule CY 2016

Continuing Education Credit Process

Continuing Education Approval

- This program has been approved for 1.0 continuing education (CE) unit given by CE Provider #50-747 for the following professional organizations:
 - Florida Board of Nursing
 - Florida Board of Clinical Social Work, Marriage and Family Therapy and Mental Health Counseling
 - Florida Board of Nursing Home Administrators
 - Florida Council of Dietetics
 - Florida Board of Pharmacy
- Professionals licensed in other states will receive a Certificate of Completion to submit to their licensing boards.

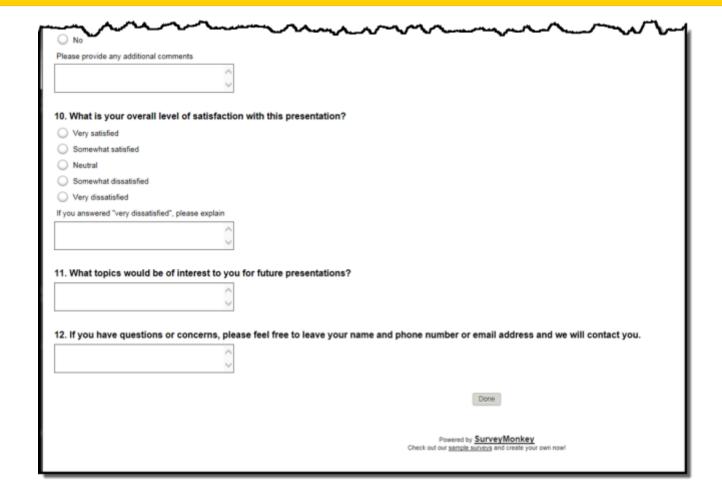
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CE Credit Process

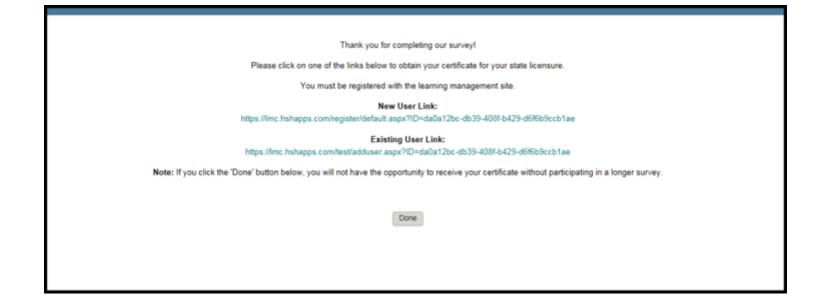
- Complete the ReadyTalk® survey you will receive by email within the next 48 hours or the one that will pop up after the webinar.
- The survey will ask you to log in or register in order to access your personal account in the Learning Management Center.
 - A one-time registration process is required.

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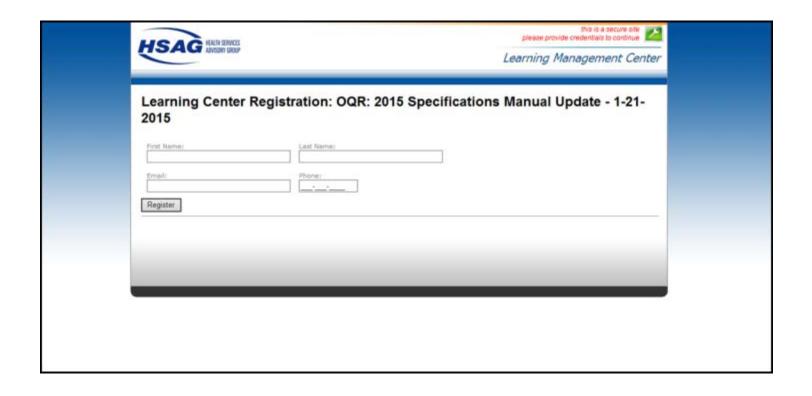
CE Credit Process: Survey



CE Credit Process



CE Credit Process: New User



CE Credit Process: Existing User



Thank You for Participating!

Please contact the ASCQR Support Contractor if you have any questions:

 Submit questions online through the QualityNet Question & Answer Tool at <u>www.qualitynet.org</u>

Or

 Call the ASCQR Support Contractor at 866.800.8756.

This material was prepared by the Outpatient Quality Reporting Outreach and Education Support Contractor under contract with the Centers for Medicare & Medicaid Services (CMS), an agency of the U.S. Department of Health and Human Services (HHS). FL-OQR/ASC-Ch8-07152015-01