

QualityNet Reports and Utilization of the Secure File Transfer for the Ambulatory Surgical Center Quality Reporting (ASCQR) Program

Reneé Parks, RN, BSN Project Lead, ASCQR Program

October 22, 2014

Announcements

- The CY 2015 OPPS/ASC Final Rule is scheduled for display by November 1.
- Registration for the National Healthcare Safety Network (NHSN) is now available.
- The CY 2015 annual payment update determinations are nearing completion.



Next Webinar

- November 19, 2014 CY 2015 OPPS/ASC Final Rule
- Presenter Anita Bhatia, PhD, MPH, Government Task Leader, ASCQR Program, Centers for Medicare & Medicaid Services



Learning Objectives

At the conclusion of the program, attendees will be able to:

- Identify where to locate the reports for ASCs on QualityNet
- Describe ASC reports and their purposes
- Describe the process to send files through the Secure File Transfer





QualityNet Reports and Utilization of the Secure File Transfer



Reneé Parks

October 22, 2014

ASC Reports Guide

Report Category	Report Name	Function
Annual Payment Update (APU)	Claims Detail	Monitors quarterly claims submission
APU	Provider Participation	Monitors compliance with program requirements



My Tasks Page

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Accessing Your Reports: Report Categories

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Start Page

Select the Run Reports tab.

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Viewing Reports

- Select the View Reports tab.
- Wait for the system to complete processing the report request.
- Open the report by selecting the View Report icon (magnifying glass) in the Status column.
- Reports are stored on the system for one week.



Select Report to View

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Claims Detail Report

- Lists all ASC claims submitted successfully to the Medicare Administrative Contractor (MAC) in accordance with program requirements
- Provides a detailed listing of claims submitted with and without Quality Data Codes (QDCs)
- Assists in monitoring compliance with program requirements for the claims-based measures



Claims Detail Report Field Parameters

- Parameters are the same for both available ASC reports.
- Required fields:
 - o State
 - o ASC
 - Date Range Start Date
 - Date Range End Date
 - Report Format
- After entering data, press **Run Report**. A screen will appear that indicates how to check the status and how to retrieve the report.
- The report headers contain the title, run date, page number, and date of service range requested.



Claims Detail Report Fields: ASC Information

- Data As Of (last date the claims-based measure data were refreshed)
- National Provider Identifier (NPI)
- ASC Name
- ASC City
- State



Claims Detail Report Fields: Patient Information

- Medicare Health Insurance Claim Number (HICN)
- Claim Receipt Date
- Date of Service Quality Data Codes (QDCs submitted on the claim for the patient for the date of service)
- Last Name
- First Name
- Date of Birth
- Claim Control Number ICN (internal control number assigned by the MAC)



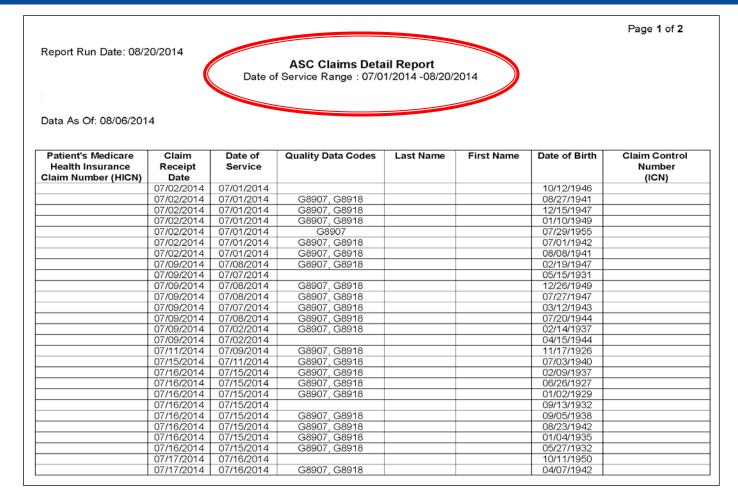
ICNs on CSV Report

To view the ICN as an integer on the csv report:

- 1. Highlight the column
- 2. Right click your mouse
- 3. Select Format Cells...
- 4. Under Category, select Number
- 5. Under **Decimal Places** (on the right-hand panel of the screen), select **0** (zero)



Sample ASC Claims Report





Provider Participation Report

- Enables ASCs and vendors to monitor compliance with ASCQR program requirements
- Displays summary information of cases accepted into the Clinical Data Warehouse
- Updated nightly to provide a current view of ASC's data submission status
- Does not in any way guarantee that an ASC qualifies for the full APU



Provider Participation Report Field Descriptions (1 of 4)

- Parameters are the same for both available ASC reports, i.e., State, ASC, NPI, ASC Name, ASC City
- Active QualityNet Security Administrator displays:
 - Yes if there is an active/or approved Security Administrator for the selected ASC
 - No if there is not an active or pending Security Administrator for the selected ASC
- **Participation Status** displays:
 - Participating
 - o Withdrawn



Provider Participation Report Field Descriptions (2 of 4)

- Total Number of Claims with QDCs displays the total number of claims submitted that contain QDCs for the dates of service applicable to the CY for payment determination. Note: An applicable QDC for each of the five ASC claims-based measures must be included for this count to be incremented.
- **Total Number of Claims** displays the total number of claims for the dates of service applicable to the CY for payment determination, with or without QDCs.
- Data Completeness compares the total number of claims with QDCs to the total number of claims applicable to the CY (expressed as a percentage).
- **CMS Required Threshold** displays the percentage of QDC submission required by CMS for the applicable CY.



Provider Participation Report Field Descriptions (3 of 4)

- Web-Based Measures displays the web-based measure identifier and web-based measure name for each of the two measures submitted to QualityNet:
 - ASC-6: Safe Surgery Checklist Use
 - ASC-7: ASC Facility Volume Data on Selected ASC Surgical Procedures
- **Completed** column displays for each measure as either:
 - Yes when required submission is completed within the entry period specified for the measure, or
 - No if required submission for the measure has not been completed within the entry period specified for the measure.



Provider Participation Report Field Descriptions (4 of 4)

- HAI Measures section is a placeholder for information about completion of ASC-8: Influenza Vaccination Coverage among Healthcare Personnel. However, no data are yet available for this measure.
- Claims-Based Measures section displays for each quarter:
 - Quarter and Calendar Year
 - Claims-Based Measures
 - o Numerator
 - o Denominator
 - o Measure Value



Elements of Provider Participation Report

- State states associated with user
- ASC ASC names associated with user (select one, several, or all ASCs)
- Payment Year
- Report Format The report is only available as a PDF



Provider Participation Report: Footnote

- Applies to the Total Number of Claims with QDCs field
- Disclaimer: This report does not confirm or deny whether an ASC qualifies for the full annual payment update.



Sample Provider Participation Report

	ASCQR Partici	nation Report		Page 1
	Payment Y	ear: 2016		
State: FL	Active QualityNet Securi	ty Administrator: No		
National Provider Identifier (NPI):	Participation Status:			
ASC Name:				
ASC City:				
Total Number of Claims with QDC ¹ : 68	Web - Based Measures:		(Completed
Total Number of Claims: 90	ASC-6: Safe Surgery Ch	ecklist Use		No
Data Completeness: 76%	ASC-7: ASC Facility Volu	ume Data		No
CMS Required Threshold: 50%				
	HAI Measures:	ation Coverage among Hea		Data Not Available
Claims-Based Measures	Quarter 1 2014 D		NI	
	Numerator	Denominator	Measure Value	
ASC-1: Patient Burn	0	39	0.000	Per 1000 Admissions
		39		
ASC-2: Patient Fall	Q	39	0.000	Per 1000 Admissions
ASC-2: Patient Pall ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant	0	39	0.000	Per 1000 Admissions Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong	-			
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant	0	39	0.000	Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant ASC-4: Hospital Transfer/Admission	0	39 39	0.000 0.000	Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant ASC-4: Hospital Transfer/Admission	0	39 39	0.000 0.000	Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant ASC-4: Hospital Transfer/Admission	0	39 39	0.000 0.000	Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant ASC-4: Hospital Transfer/Admission	0	39 39	0.000 0.000	Per 1000 Admissions
ASC-3: Wrong Site, Wrong Side, Wrong Patient, Wrong Procedure, Wrong Implant ASC-4: Hospital Transfer/Admission	0	39 39	0.000 0.000	Per 1000 Admissions

The "Total Number of Claims with QDC" field displays a count of claims containing at least one Quality Data Code (QDC) relevant to each of the required measures
"Disclaimer: This report does not confirm or deny whether an ASC qualifies for the full annual payment update.



Secure File Transfer

Advantages:

- Flexibility when exchanging sensitive information, i.e., preview reports
- JAVA compatibility problems eliminated
- Security enhanced
- Larger file sizes acceptable
- Messaging more adaptable



Sending Data Through the Portal

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Welcome QualityNet Secure Portal Provided by Center for Clinical Standards and Quality (CCSQ), Centers for Medicare and Medicaid Systems. Providers, vendors supporting providers, support contractors, and other participating in CCSQ Quality Reporting Programs can access data exchange and submission tools, measures tools, scoring support tools, and reporting services in this portal.			More News Announcements QualityNet Tean • Maintenance	npare Preview v available mpare Preview v available D Percentage mmary Report corrections e Aug. 25 s from n e downtime or August 22-25 e downtime et, Secure illable
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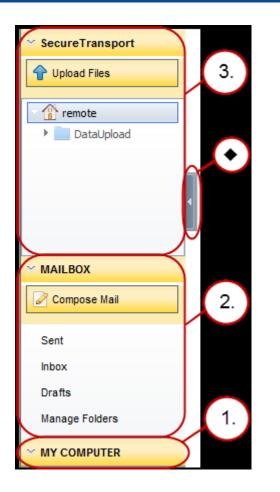
Secure File Transfer Page

- 1. Toolbar (Help and Preferences)
- 2. Main menu (Secure Transport, Mailbox, My Computer)
- 3. Main work area (tabs)

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Main Menu



- 1. My Computer non-functional
- 2. Mailbox allows you to send and receive messages and attachments
- **3. Secure Transport** allows you to upload your ASC's data but is not recommended for use at this time



Blue rectangle – will collapse main menu and expand work area



Compose Mail Page

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Selecting Addressee/s

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		Add	
		Remove	
	-		



Mailbox

- 1. The last time your draft was saved displays next to the **Discard** button.
- 2. Clear recipient addresses by selecting the Clear link.
- 3. Copy your message to other recipients by selecting the **CC** link and select recipients as you did for the **To** line.
- 4. The Blind Copy (BCC) line is hidden by default. If you want to blind copy your message, select the **Show BCC** link and add recipients.

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Composing Mail

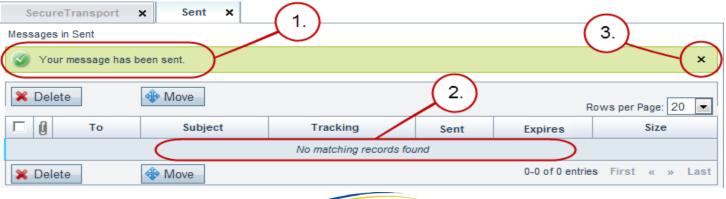
- 1. Enter a name for your message in the **Subject** line. **Do not** include any PII or PHI in the Subject line.
- 2. All messages will expire and be deleted from the system after 60 days unless you change the expiration period. To change the expiration period, select the down arrow, then select another option from the menu (1 Day, 7 Days, 30 Days, or 60 days).
- 3. Type your message in the text block area. **Do not** include any PII or PHI in the message.
- 4. To attach files, select the active button on the bottom of the page.

1.	
Subject: TEST - Mail Message Secure File Transfer	
Expiration 60 Days Test mess 7 Days 30 Days 60 Days 2.	3. 4.
Send Save Now Discard Draft saved at 15:01	Attach File 🗶 Remove Files



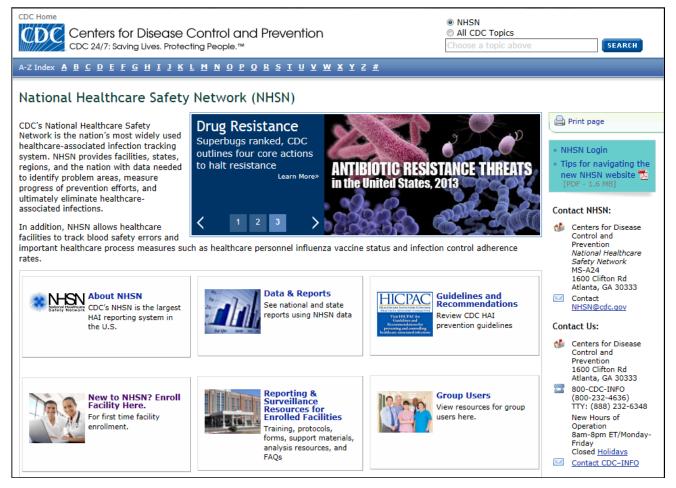
Confirming Message Status

- 1. After you select the **Send** button, a **Sent** messages tab will open and a green message banner will display, confirming your message has been sent.
- 2. The message you sent does not appear in the sent folder immediately.
 - The system scans attachments for viruses.
 - The speed of the virus scan and file transfer depends on the size of this file(s) and the number and size of other files being transferred at the same time.
 - When the scan is complete, the message will appear.
- 3. You can clear the confirmation message by selecting the **X** on the right side of the confirmation message.





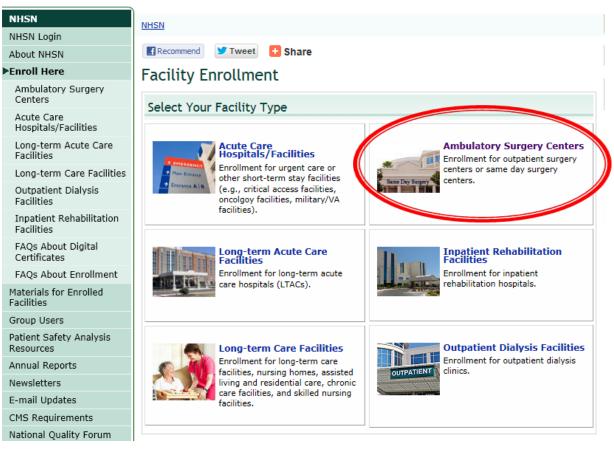
National Healthcare Safety Network (NHSN)





NHSN Enrollment for ASCs

National Healthcare Safety Network (NHSN)





NHSN Enrollment Process

National	Healthcare	Safety	Network	(NHSN)	
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NHSN

NHSN Login About NHSN

Enroll Here

Ambulatory Surgery Centers

Set-up

Acute Care Hospitals/Facilities

Long-term Acute Care Facilities

Long-term Care Facilities

Outpatient Dialysis Facilities

Inpatient Rehabilitation Facilities

FAQs About Digital Certificates

FAQs About Enrollment

Materials for Enrolled Facilities

Group Users

Patient Safety Analysis Resources

Annual Reports

Newsletters



5-Step Enrollment for Ambulatory Surgery Center Facilities

Note: The following instructions are for ambulatory surgical centers (ASCs) that must enroll in NHSN for the purpose of fulfilling CMS's Ambulatory Surgical Center Quality Reporting (ASCQR) Program requirements. Participating CMS-licensed ASCs will be required to report healthcare personnel vaccination summary data via NHSN beginning with the 2014-2015 influenza season. Detailed operational guidance, training, and other information for this reporting are available at <u>NHSN ASC</u> <u>Surveillance for Healthcare Personnel Vaccination</u>.

If your facility is already enrolled in NHSN, e.g. because your state requires your facility to report surgical site infections, it does not have to be re-enrolled. Your NHSN Facility Administrator may simply activate the Healthcare Personnel Safety Component in NHSN.

Step 1: Training and Preparation

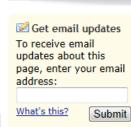


Read NHSN Facility Administrator Enrollment Guide [PDF - 673 KB] March 2014.

Be sure to check trusted websites and spam blockers.

Time to complete step 1: 30 minutes





Continuing Education

Opportunities

📥 Print page

NHSN Login





NHSN Set-Up for Reporting

NHSN Set-up (required before beginning reporting)

Step 1: Map Locations



Log in to **SAMS** using your grid card and password, and select 'NHSN Reporting.'

Select your facility from the "NHSN Landing Page".

Go to "facility" on the navigation menu and select locations.

For each unit in your facility, create a code, location label and assign a CDC location description. The codes and labels you choose will identify patient care locations in your facility.

Location Mapping Quick Reference Guide 🔂 [PDF - 244 KB] February 2013

CDC Location Labels and Location Descriptions 1 [PDF - 379 KB] January 2014

Time to complete Step: 18 minutes

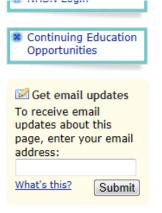


Step 2: Create Monthly Reporting Plan

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Monthly reporting plans indicate which modules you intend to follow. Once the "Influenza Vaccination Summary" box is checked on a monthly reporting plan, the system will auto-check that same box on every monthly reporting plan throughout the entire NHSN-defined influenza season (defined as the 12 months from July 1 – June 30). If your ASC also does SSI surveillance, you will have to enter monthly reporting plans for this in the Patient Safety Component.









Continuing Education Approval

- This program has been approved for 1.0 continuing education (CE) unit given by CE Provider #50-747 for the following professions:
 - o Florida Board of Nursing
 - Florida Board of Clinical Social Work, Marriage and Family Therapy and Mental Health Counseling
 - Florida Board of Nursing Home Administrators
 - Florida Council of Dietetics
 - Florida Board of Pharmacy
- Professionals licensed in other states will receive a Certificate of Completion to submit to their licensing Boards.



CE Credit Process

- Complete the WebEx survey you will receive by email within the next 48 hours.
- The survey will ask you to log in or register to access your personal account in the Learning Management Center.

o A one time registration process is required.

Additional details are available at:

http://www.oqrsupport.com/asc/education_continuing



Thank You for Participating!

- Please contact the ASCQR Support Contractor if you have any questions:
 - Submit questions online through the QualityNet Question & Answer Tool at <u>www.qualitynet.org</u>

Or

 Call the ASCQR Support Contractor at 866-800-8756

This material was prepared by the Outpatient and Ambulatory Surgery Centers Value, Incentives, and Quality Reporting Outreach and Education Support Contractor, under contract with the Centers for Medicare & Medicaid Services (CMS), an agency of the U.S. Department of Health and Human Services. FL-OQR/ASC-Ch8-10172014-05

