

Voluntary Reporting of the Hospital-Level THA/TKA PRO-Based Performance Measure

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Speakers

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Outreach and Education Support Contractor

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Purpose

This presentation will provide participants with an overview of the Hospital-level Total Hip Arthroplasty/Total Knee Arthroplasty (THA/TKA) Patient-Reported Outcome-Based Performance Measure (PRO-PM) implementation, timeline, and data submission process.

Objectives

Participants will be able to understand the following:

- Measure overview
- Measure implementation timeline
- Measure data submission process
- Measure technical specifications and file format expectations
- Resources for voluntary reporting

Acronyms and Abbreviations

APU	annual payment update	HCAHPS	Hospital Consumer Assessment of Healthcare Providers and Systems	Pre-op	preoperative
ADMSN	admission	HLTH	health	Post-op	post operative
ВМІ	body mass index	нооѕ	hip disability and osteoarthritis outcome score	PRO	Patient Reported Outcome
CCN	CMS Certification Number	HQR	Hospital Quality Reporting	PRO-PM	Patient-Reported Outcome Measurement Information System
CMS	Centers for Medicare & Medicaid Services	ID	identification	PROC	procedure
csv	Comma-Separated Value	IQR	Inpatient Quality Reporting	PROM	Patient-reported Outcome Measure
DACA	Data Accuracy and Completeness Acknowledgement	JR	joint replacement	PROMIS	Patient-Reported Outcome Measures Information System
DOB	date of birth	KOOS	knee disability and osteoarthritis outcome score	SILS2	Single Item Literacy Screener
DT	date	мві	Medicare Beneficiary Identifier	S-Type	Survey Type
eCQM	electronic clinical quality measure	MD	mode	ТНА	total hip arthroplasty
FFS	Fee for Service	N/A	not applicable	TKA	total knee arthroplasty
FY	fiscal year	OQR	Outpatient Quality Reporting	VR-12	Veterans Rand - 12
GEN	generic	N/A	not applicable	XML	Extensive Markup Language
HARP	Health Care Quality Information System Access	P-Type	Procedure Type		Back

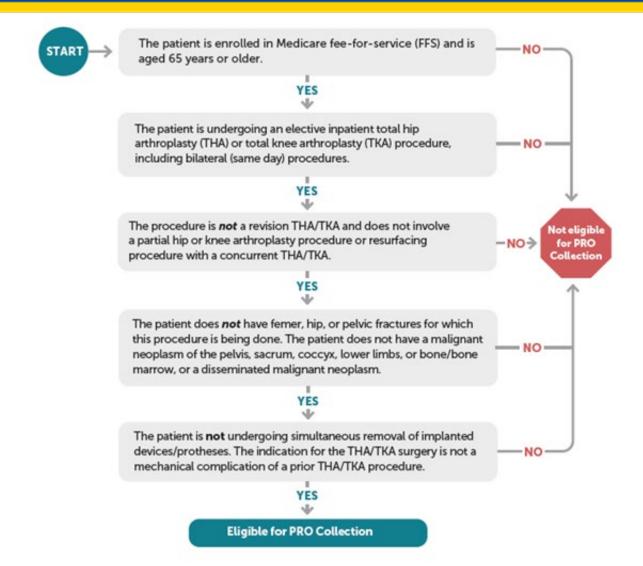
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Measure Overview

Measure Overview

- Goal: measure a patient's improvement after a THA/TKA procedure based on their self-assessment of pain and function
 - Promote collaboration and shared-decision making between patients and providers across the full spectrum of care
- THA/TKA procedures commonly performed in Medicare population
- First ever PRO-PM of its kind that incorporates the patient's self-assessment of their pain and function directly in the measure outcome
- Patient-centered measurement aligned with CMS's Meaningful Measures
- Hospitals can participate in two voluntary reporting periods prior to mandatory reporting

Which Patients Are In The Measure?



Which Data To Collect and Submit?

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Data Element Type	Preoperative Data Elements	Postoperative Data Elements	
Patient-Reported Outcome Measures	THA patients: HOOS, JR TKA patients: KOOS, JR	THA patients: HOOS, JR TKA patients: KOOS, JR	
	Mental Health Subscale items from either PROMIS- Global or VR-12		
	Health Literacy (SILS2)		
Davissa sa Davidsa	BMI or Height/Weight		
Patient- or Provider- Reported Risk Variables	Use of Chronic Narcotics	N/A	
	Total Painful Joint Count: Patient-Reported Pain in Non-Operative Lower Extremity Joint		
	Quantified Spinal Pain: Patient-Reported Back Pain, Oswestry Index Question		
	Medicare Provider Number	Medicare Provider Number	
	MBI	MBI	
Matabina Variablas	Date of Birth	Date of Birth	
Matching Variables	Date of Procedure	Date of Procedure	
	Procedure Type	Procedure Type	
	Date of Admission	Date of Admission	
	Date of PRO Data Collection	Date of PRO Data Collection	
PROM-related Variables	Mode of Collection	Mode of Collection	
PROM-related variables	Person Completing the Survey	Person Completing the Survey	
	Generic PROM Version	N/A	

BMI: Body Mass Index; HOOS, JR: Hip Disability and Osteoarthritis Outcome Score, Joint Replacement; ROOS, JR: Knee Disability and Osteoarthritis Outcome Score, Joint Replacement; PROMIS-Global: Patient-Reported Outcomes Measurement Information System; SILS: Single Item Literacy Screener; VR-12: Veterans Rand-12; MBI: Medicare Beneficiary Identifier; PROM: Patient-reported Outcome Measure

When Are Data Collected?

THA/TKA PRO-PM requires PRO data collection before and after surgery:

- Preoperative PRO data collection:
 90–0 days before eligible THA/TKAs
- Postoperative PRO data collection:
 300–425 days after surgery

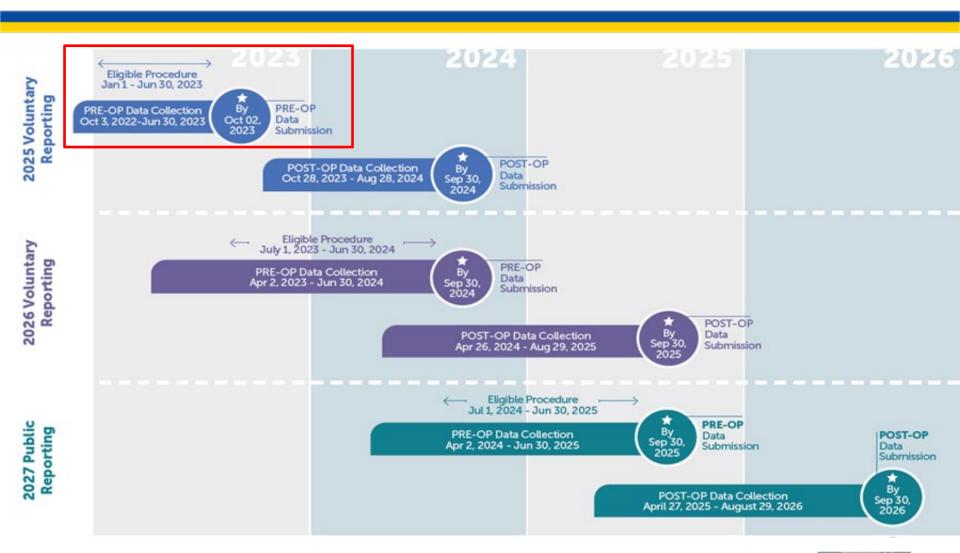
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Measure Implementation Timeline

Plans for Implementation

- In the fiscal year (FY) 2023 Inpatient Prospective Payment System final rule, CMS finalized a phased measure implementation approach based on stakeholder feedback
 - Two voluntary reporting periods were prior to mandatory reporting in the Hospital IQR Program, starting with FY 2028 payment determination.
- Hospital IQR Program minimum reporting requirement: Hospitals will need to submit complete preoperative PRO data and matched complete postoperative PRO data for at least 50% of their eligible inpatient THA/TKA procedures to receive their annual payment update (APU) in FY 2028.
- Hospitals will receive response rate and measure score information confidentially during voluntary reporting, as applicable.
- Hospital participation in voluntary reporting and/or response rates will be publicly reported during voluntary reporting.

Voluntary Reporting Timeline



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Measure Data Submission

Who Can Submit?

Hospitals have the flexibility to submit data through multiple approaches:

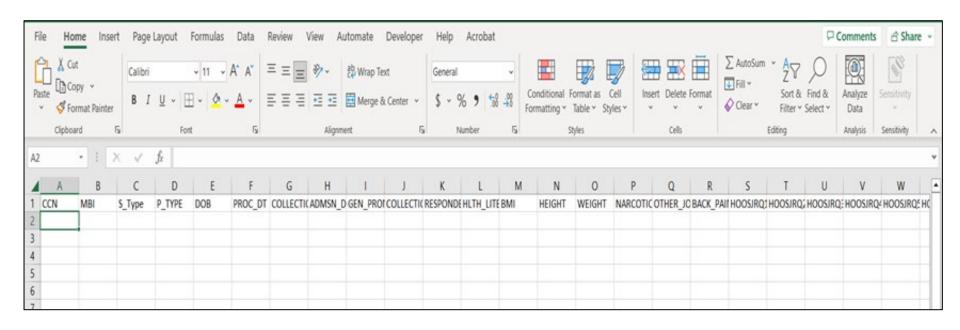
- Send data directly to CMS for measure calculation
- Use an external entity (vendor or registry)

What Data are Submitted?

Hospitals and external entities will use the Hospital Quality Reporting (HQR) system, which allows multiple submission options:

- Comma-Separated Value (CSV)
- Extensible Markup Language (XML)
- Manual data entry

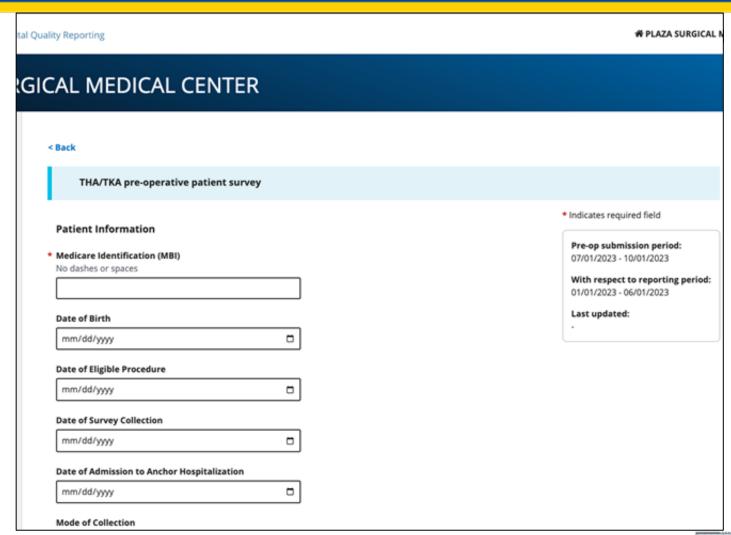
CSV



XML

Sample XML of a preoperative PRO data submission <SURVEY> <CCN>123456</CCN> <MBI>1ACOD12EF34</MBI> <S_TYPE>1</S_TYPE> <P_TYPE>1</P_TYPE> <DOB>01011970</DOB> <PROC_DT>01012023</PROC_DT> <COLLECTION_DT>10032023</COLLECTION_DT> <ADMSN_DT>01012023</ADMSN_DT> <GEN_PROM>1</GEN_PROM> <COLLECTION_MD>0</COLLECTION_MD> <RESPONDER>0</RESPONDER> <HLTH_LITERACY>0</HLTH_LITERACY> <BMI>40</BMI> <HEIGHT>170</HEIGHT> <WEIGHT>100</WEIGHT> <NARCOTIC_USE>0</NARCOTIC_USE> <OTHER_JOINT_PAIN>0</OTHER_JOINT_PAIN> <BACK_PAIN>1</BACK_PAIN> <HOOSJR> <HOOSJRQ1_STAIRS>0</HOOSJRQ1_STAIRS> <HOOSJRQ2_WALKING>1</HOOSJRQ2_WALKING> <HOOSJRQ3_RISING>2</HOOSJRQ3_RISING> <HOOSJRQ4 BEND>3</HOOSJRQ4 BEND> <HOOSJRQ5_LYINGINBED>4</HOOSJRQ5_LYINGINBED> <HOOSJRQ6_SITTING>0</HOOSJRQ6_SITTING> </HOOSJR> <VR 12> <VR_12Q4a_ACCOMPLISH>1</VR_12Q4a_ACCOMPLISH> <VR_12Q4b_CAREFUL>2</VR_12Q4b_CAREFUL> <VR_12Q6a_CALM>3</VR_12Q6a_CALM> <VR_12Q6b_ENERGY>4</VR_12Q6b_ENERGY> <VR_12Q6c_DOWN>5</VR_12Q6c_DOWN> <VR_12Q7_SOCLACT>1</VR_12Q7_SOCLACT> </VR_12> </SURVEY>

Manual Entry



Acronyms

Preoperative PRO Data Elements to Submit

Data Element Type	Preoperative Data Elements
Patient-Reported Outcome Measures	THA patients: HOOS, JR TKA patients: KOOS, JR
Patient- or Provider- Reported Risk Variables	Mental Health Subscale items from either PROMIS- Global or VR-12
	Health Literacy (SILS2)
	BMI or Height/Weight
	Use of Chronic Narcotics
	Total Painful Joint Count: Patient-Reported Pain in Non-Operative Lower Extremity Joint
	Quantified Spinal Pain: Patient-Reported Back Pair Oswestry Index Question
Matching Variables	Medicare Provider Number
	MBI
	Date of Birth
	Date of Procedure
	Procedure Type
	Date of Admission
PROM-related Variables	Date of PRO Data Collection
	Mode of Collection
	Person Completing the Survey
	Generic PROM Version

BMI: Body Mass Index; HOOS, JR: Hip Disability and Osteoarthritis Outcome Score, Joint Replacement; KOOS, JR: Knee Disability and Osteoarthritis Outcome Score, Joint Replacement; PROMIS-Global: Patient-Reported Outcomes Measurement Information System; SILS; Single Item Literacy Screener; VR-12: Veterans Rand-12; MBI: Medicare Beneficiary Identifier; PROM: Patient-reported Outcome Measure

Common Scenarios

- Outpatient Procedures
- Bilateral Procedures
- Missing Data Elements

Outpatient Procedures

- THA/TKA procedures completed as an outpatient are not eligible for the measure cohort.
- Although the collection of PRO data for outpatient procedures is not required at this time for this measure, we acknowledge it may be easier for hospitals to collect PRO data on outpatient procedures given difficulty identifying outpatient vs inpatient procedures in advance.
- For the highest chances of meeting APU, when in doubt, collect and submit PRO-PMs for all THA/TKA patients.

Bilateral Procedures

- Bilateral procedures (performed on both hips or both knees on the same day) are included in the measure cohort.
- In the event of a bilateral THA/TKA, hospitals will only need to collect and submit one set of PRO data, including one response for the hip disability and osteoarthritis outcome score (HOOS, JR) or knee disability and osteoarthritis outcome score (KOOS, JR) for that patient preoperatively and postoperatively (entered in one row).

Missing Data Elements



Complete Data

- Included in measure cohort
- Included in measure outcome
- Included in non-response bias approach
- Considered as complete data for IQR APU



Incomplete Data

- Not included in measure cohort
- Not included in measure outcome
- Included in non-response bias approach
- Does not qualify as complete data for IQR APU



No Response

- Not included in measure cohort
- Not included in measure outcome
- Included in non-response bias approach
- Does not qualify as complete data for IQR APU

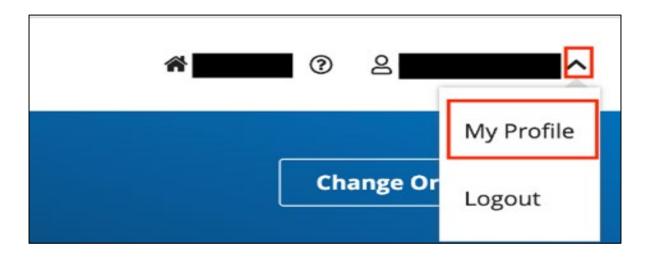
For more calculation details, including the non-response bias approach, please see Section 2.7.1 of the Methodology Report on QualityNet: https://qualitynet.cms.gov/inpatient/measures/THA TKA/methodology

Acronyms

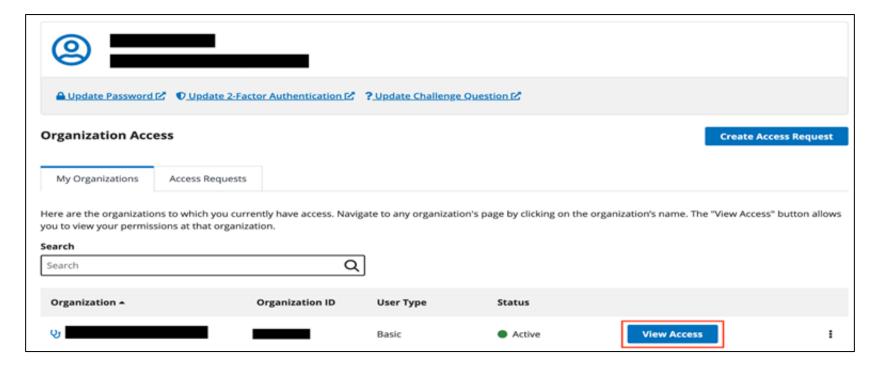
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Hospital Quality Reporting Application Development Organization

Measure Data Submission in HQR

- Log in to HQR via the Health Care Quality Information System Access Roles and Profiles (HARP) at https://hqr.cms.gov.
- In the top right corner, click the arrow by your name and select My Profile.



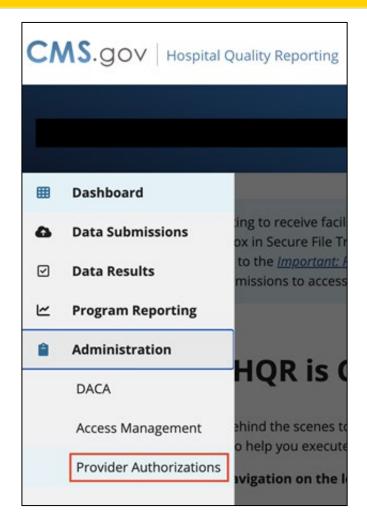
3. Scroll down to your list of organizations and click View Access next to the organization for which you will be submitting THA/TKA data.



 Scroll down to the Data Submissions section and look for the Patient-Reported Outcomes Performance Measure and ensure that IQR (Upload/Edit) is under Program Access.

Permissions	
Data Submissions	Program Access
Patient-reported outcomes performance measure	IQR (View), IQR (Upload / Edit)

5. If you are a vendor or registry submitting on behalf of a provider, navigate to the Provider Authorizations page under Administration.

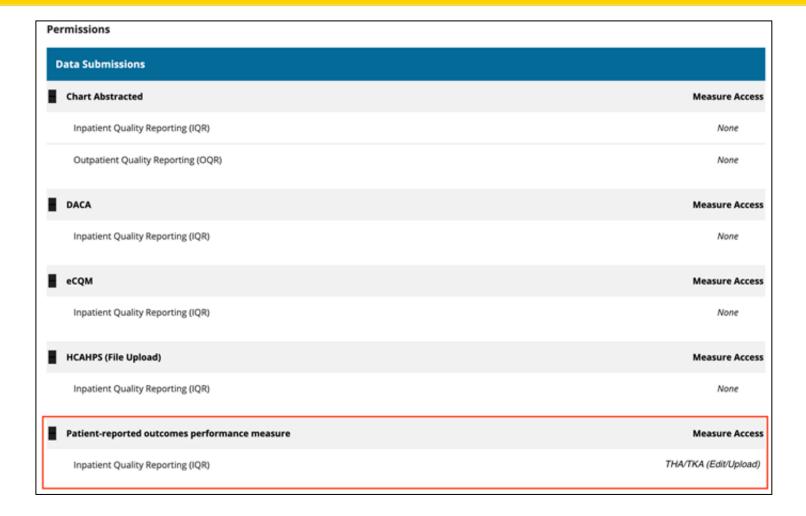


Verify Vendor Authorization

Select the provider in the Your Providers table to view the authorizations. In the Data Submissions section, look for the Patient-Reported Outcomes Performance Measure role and ensure that Inpatient Quality Reporting (IQR) has active Measure Access for THA/TKA.

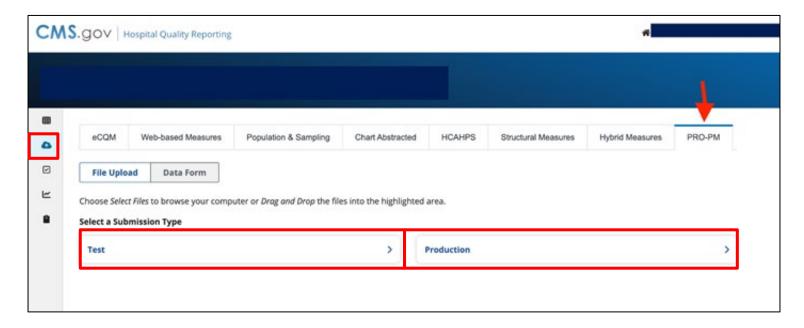


Verify Vendor Authorization

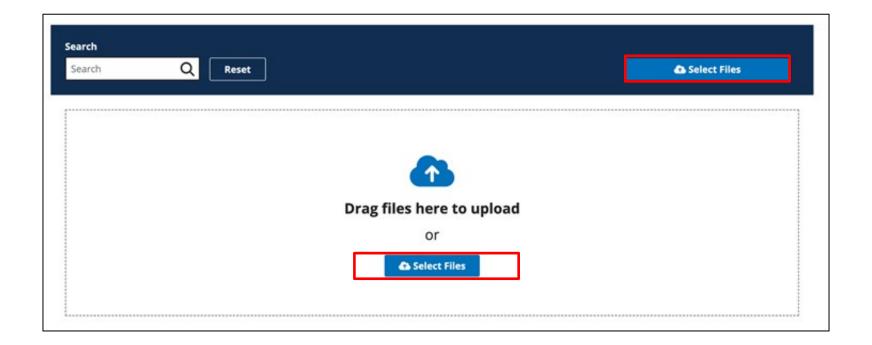


- 1. Login to HQR via HARP at https://hqr.cms.gov
- 2. In the left-hand navigation panel, select Data Submissions

- If you have the Data Submissions Patient-Reported
 Outcomes Performance measure role, you will be able to
 see and select the PRO-PM tab.
- 4. Select Test or Production file upload.

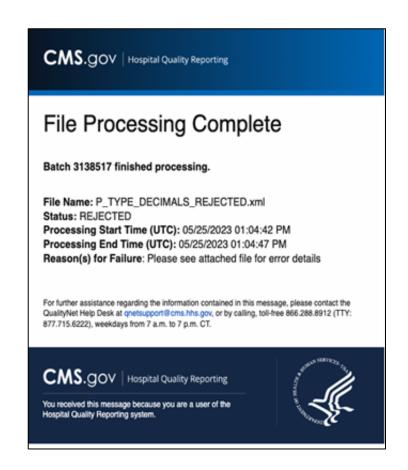


5. Drag and drop your ZIP, CSV, or XML file or click Select Files to browse your machine's files and select your ZIP, CSV, or XML file to upload to HQR.

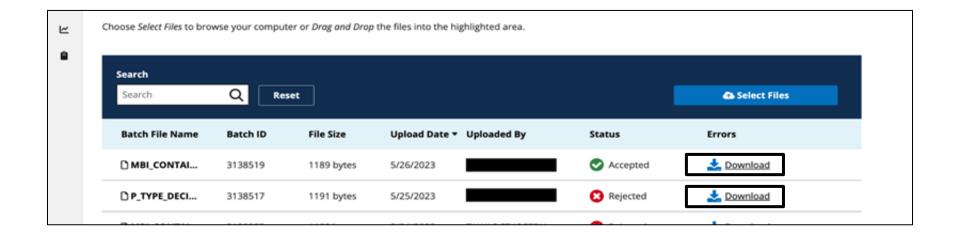


When file processing completes, you will receive an email.

The email will contain an attachment of any informational messages or errors regarding your files.



You can also download a file accuracy report from the File Upload table. Click the Download link in the last column in the file table next to each file uploaded.

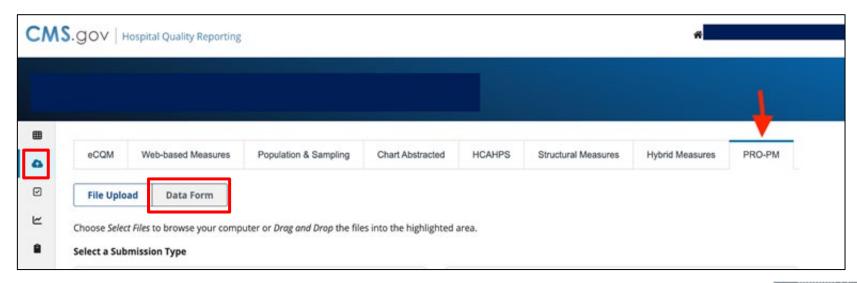


File Upload Submission Steps

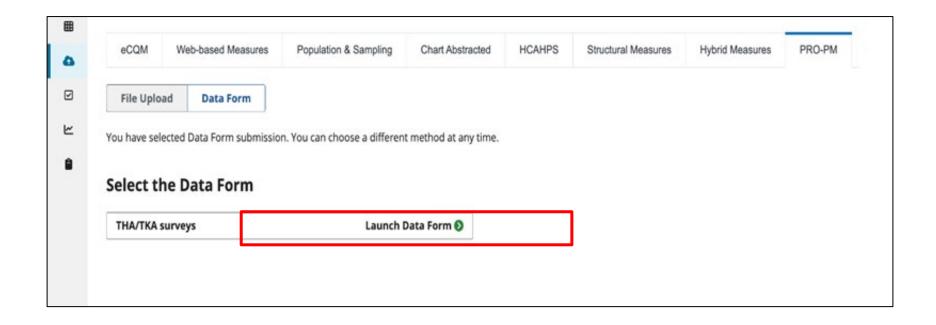
If any critical error messages are on the report and you have a status other than Accepted, your file was rejected from HQR. You will need to correct your file(s) and submit again until you get a status of Accepted.

FileName	CCN	BatchID	UploadDate	UploadedBy	Status	ErrorDetails
THA_TKA_Pre_FY25.xm	xxxxxx	1111111	07/01/2023	TESTProvider	REJECTED	Missing Medicare Beneficiary Identifier (MBI) Enter 11-digit MBI.

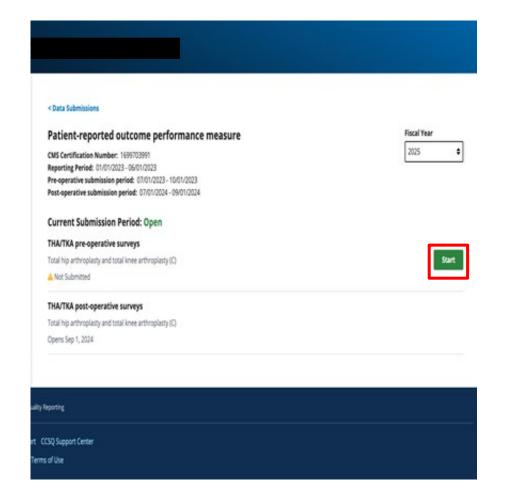
- 1. In left hand navigation panel, select Data Submissions.
- If you have the Data Submissions Patient-Reported
 Outcomes Performance measure role, you will be able
 to see and select the PRO-PM tab
- 3. Click Data Form.



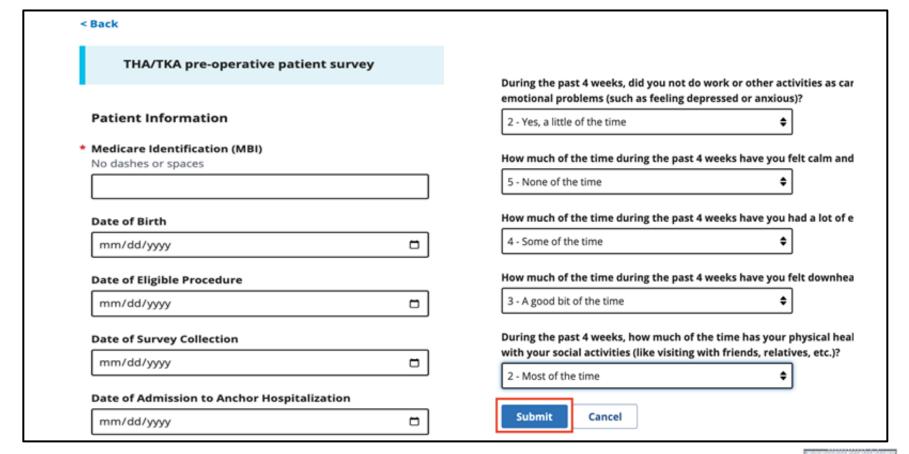
4. Click: THA/TKA Surveys Launch Data Form



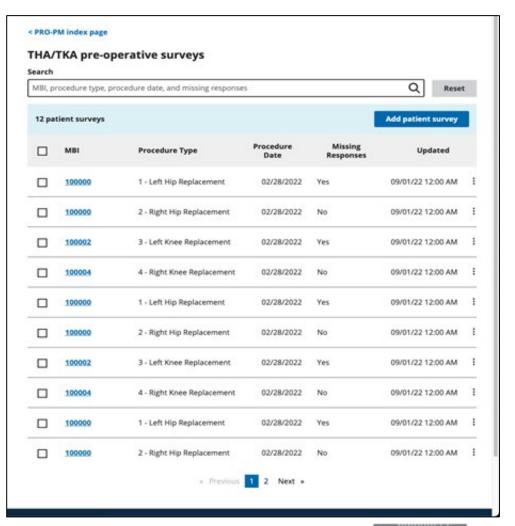
Click the Start button next to THA/TKA pre-operative surveys.



Complete one data form at a time per patient survey. Address any errors while filling out the form and click Submit.



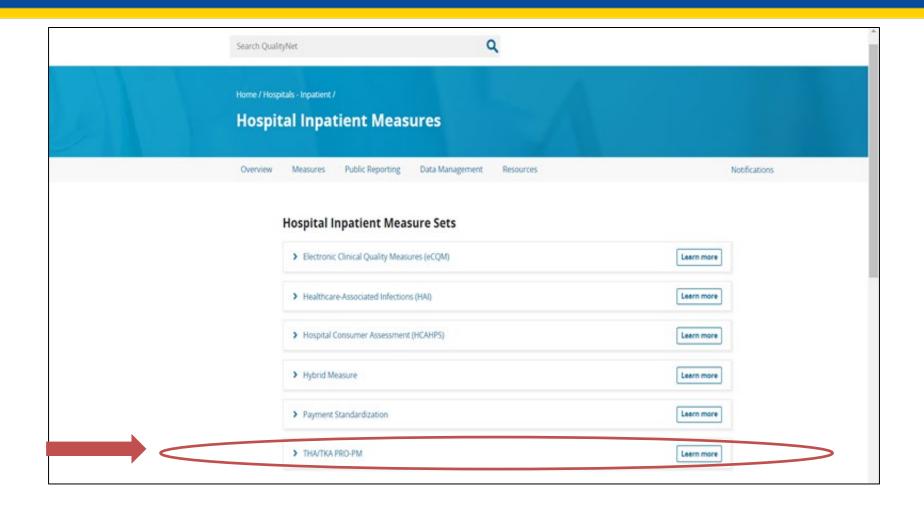
7. After submitting one survey, you will see the survey table. This table lists all the surveys submitted for this provider thus far. Each one can be viewed, edited, or deleted during the open submission period from this table.



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Resources

Resources on QualityNet

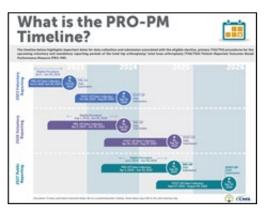


PRO-PM Resources

PRO Data Collection Fact Sheets



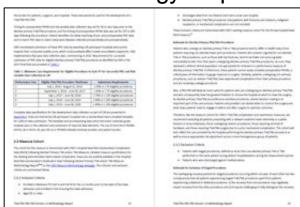








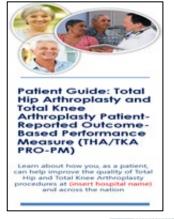
Methodology Report



Measure Fact Sheet



Patient Brochure

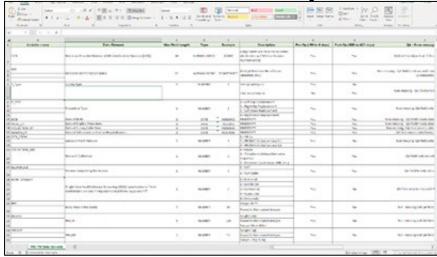


PRO Data Submission Resources

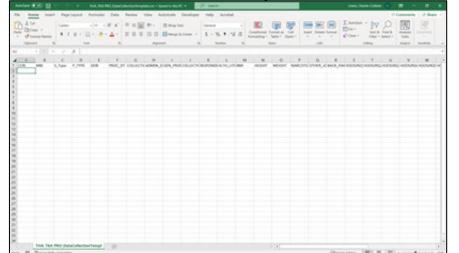
XML file template



PRO Data Dictionary



CSV file template



Data Submission Resources

File Upload Edit Messages

Data Element	Condition	Message Status	Message Description (XML)	Message Description (CSV)
	File accepted		No errors found	No errors found
PROC_DT S_TYPE	Submission period closed	Critical	Procedure date is outside range for this submission period.	Row XXX: Procedure date is outside range for this submission period.
CCN	Required field not present	Critical	Missing Medicare Provider Number or CCN	Row XXX: Missing Medicare Provider Number or CCN
CCN	Field format incorrect	Critical	Medicare Provider Number or CCN cannot be more than ten digits	Row XXX: Medicare Provider Number or CCN cannot be more than ten digits
CCN	CCN is closed	Critical	Provider associated with this CCN is closed	Row XXX: Provider associated with this CCN is closed
CCN	Vendor not authorized	Critical	Vendor not authorized to submit for this CCN	Row XXX: Vendor not authorized to submit for this CCN
МВІ	Required field not present	Critical	Missing Medicare Beneficiary Identifier (MBI) Enter 11-digit MBI	Row XXX: Missing Medicare Beneficiary Identifier (MBI) Enter 11-digit MBI
МВІ	Field format incorrect	Critical	MBI must be letters and numbers only. Cannot contain dashes or spaces	Row XXX: MBI must be letters and numbers only. Cannot contain dashes or spaces
S_Type	Required field not present	Critical	Missing pre- or post-operative survey type. Enter 1 for pre-op survey, 2 for post-op survey.	Row XXX: Missing pre- or post-operative survey type. Enter 1 for pre-op survey, 2 for post-op survey.
S_Type	Field format incorrect		Survey data type format is invalid. Enter 1 for pre-op survey, 2 for post-op survey.	Row XXX: Survey data type format is invalid. Enter 1 for pre-op survey, 2 for post-op survey.

Questions and Answers

- Send your questions to the QualityNet Question and Answer Tool:
 - https://cmsqualitysupport.servicenowservices.co m/qnet_qa?id=ask_a_question
- Select IQR-Inpatient Quality Reporting Program in the Program list. Then, select Hip/Knee PRO-PM in the Topic list.

Voluntary Reporting of the Hospital-Level THA/TKA PRO-Based Performance Measure

Question and Answer Session

Continuing Education Approval

This program has been approved for <u>continuing education credit</u> for the following boards:

National credit

Board of Registered Nursing (Provider #16578)

Florida-only credit

- Board of Clinical Social Work, Marriage &
 Family Therapy and Mental Health Counseling
- Board of Registered Nursing
- Board of Nursing Home Administrators
- Board of Dietetics and Nutrition Practice Council
- Board of Pharmacy

Note: To verify approval for any other state, license, or certification, please check with your licensing or certification board.

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