

Abstraction Paper Tool for the Transition Record (TR) Measures Discharges 01-01-2021 (Q1 2021) through 12-31-2021 (Q4 2021)

This measure abstraction paper tool is provided as an optional, informal mechanism to aid inpatient psychiatric facilities in the collection of the Transition Record measures for the Centers for Medicare & Medicaid Services (CMS) Inpatient Psychiatric Facility Quality Reporting (IPFQR) Program. The tool is designed to collect patient-specific data. During the summer of 2022 IPFs will have the option to compile and submit data to CMS in aggregate or to submit patient-level data to the Hospital Quality Reporting (HQR) Secure Portal. Once data are submitted, they will be stored in the CMS Centralized Data Repository for data analysis and report generation. If there are any questions or concerns regarding the use of this measure abstraction paper tool, please contact the IPFQR Program Support Contractor at IPFQualityReporting@hsag.com.

Individual Medical Record Data Collection Tool

Birth Date: _____/_____/_____

Unable to determine (UTD) is not an allowable entry.

Patient Identifier: _____

Admission Date: _____/_____/_____

UTD is not an allowable entry.

Discharge Date: _____/_____/_____

UTD is not an allowable entry.

TR-1

1. What was the patient's discharge disposition from the IPF?

(*IPF Discharge Disposition*) _____

_____ 1 The patient was discharged to home.

_____ 2 The patient was discharged to an inpatient facility

_____ 3 The transition record contains documentation of one of the following:

- the patient expired
- the patient left against medical advice (AMA)
- the patient discontinued care

_____ 4 Unable to Determine (UTD) from medical record documentation

- If *IPF Discharge Disposition* equals 1 or 2, proceed to *Reason for IPF Admission* data element.
- If *IPF Discharge Disposition* equals 3, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TR-1. The case will not be included in the numerator or denominator count for TR-1.
- If *IPF Discharge Disposition* equals 4, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- If *IPF Discharge Disposition* is missing, the case will be rejected. Stop abstracting for TR-1.

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2. Does the transition record include the reason the patient was admitted to the IPF? (*Reason for IPF Admission*)

_____ Yes

_____ No

- a. If *Reason for IPF Admission* equals Yes, proceed to *Major Procedures and Tests, Including Summary of Results*.
- b. If *Reason for IPF Admission* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Reason for IPF Admission* is missing, the case will be rejected. Stop abstracting for TR-1.

3. Does the transition record include any documentation that describes major procedures, tests performed and a summary of the results? (*Major Procedures and Tests, Including Summary of Results*)

_____ Yes

_____ No

- a. If *Major Procedures and Tests, Including Summary of Results* equals Yes, proceed to *Principal Diagnosis at Discharge*.
- b. If *Major Procedures and Tests, Including Summary of Results* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Major Procedures and Tests, Including Summary of Results* is missing, the case will be rejected. Stop abstracting for TR-1.

4. Does the transition record specify the principal diagnosis at discharge? (*Principal Diagnosis at Discharge*)

_____ Yes

_____ No

- a. If *Principal Diagnosis at Discharge* equals Yes, proceed to *Current Medication List*.
- b. If *Principal Diagnosis at Discharge* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Principal Diagnosis at Discharge* is missing, the case will be rejected. Stop abstracting for TR-1.

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5. Does the transition record include the patient's current medication list?
(*Current Medication List*)

_____ Yes

_____ No

- a. If *Current Medication List* equals Yes, proceed to *Studies Pending at Discharge or Documentation That No Studies Are Pending*.
- b. If *Current Medication List* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Current Medication List* is missing, the case will be rejected. Stop abstracting for TR-1.

6. Does the transition record include information regarding studies pending at discharge, or that no studies are pending? (*Studies Pending at Discharge or Documentation That No Studies Are Pending*)

_____ Yes

_____ No

- a. If *Studies Pending at Discharge (or Documentation That No Studies Are Pending)* equals Yes, proceed to *Patient Instructions*.
- b. If *Studies Pending at Discharge (or Documentation That No Studies Are Pending)* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Studies Pending at Discharge or Documentation That No Studies Are Pending* is missing, the case will be rejected. Stop abstracting for TR-1.

7. Does the transition record include patient instructions? (*Patient Instructions*)

_____ Yes

_____ No

- a. If *Patient Instructions* equals Yes, proceed to *Advance Directives or Surrogate Decision Maker Documented OR Documented Reason for Not Providing Advance Care Plan*.
- b. If *Patient Instructions* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Patient Instructions* is missing, the case will be rejected. Stop abstracting for TR-1.

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- 8. Does the transition record include documentation that the patient has an advance care plan or a reason for not providing an advance care plan?** (*Advance Directives or Surrogate Decision Maker Documented OR Documented Reason for Not Providing Advance Care Plan*)

____ Yes

____ No

- a. If *Advance Directives or Surrogate Decision Maker Documented OR Documented Reason for Not Providing Advance Care Plan* equals Yes, proceed to *24-hour/7-day Contact Information, Including Physician for Emergencies Related to Inpatient Stay*.
- b. If *Advance Directives or Surrogate Decision Maker Documented OR Documented Reason for Not Providing Advance Care Plan* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Advance Directives or Surrogate Decision Maker Documented OR Documented Reason for Not Providing Advance Care Plan* is missing, the case will be rejected. Stop abstracting for TR-1.

- 9. Does the transition record include documentation regarding 24-hour/7-day contact information, including physician for emergencies related to inpatient stay?** (*24-hour/7-day Contact Information, Including Physician for Emergencies Related to Inpatient Stay*)

____ Yes

____ No

- a. If *24-hour/7-day Contact Information, Including Physician for Emergencies Related to Inpatient Stay* equals Yes, proceed to *Contact Information for Obtaining Results of Studies Pending at Discharge*.
- b. If *24-hour/7-day Contact Information, Including Physician for Emergencies Related to Inpatient Stay* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *24-hour/7-day Contact Information, Including Physician for Emergencies Related to Inpatient Stay* is missing, the case will be rejected. Stop abstracting for TR-1.

- 10. Does the transition record contain contact information the patient can use to obtain results of pending studies?** (*Contact Information for Obtaining Results of Studies Pending at Discharge*)

____ Yes

____ No

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- a. If *Contact Information for Obtaining Results of Studies Pending at Discharge* equals Yes, proceed to *Plan for Follow-up Care*.
- b. If *Contact Information for Obtaining Results of Studies Pending at Discharge* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Contact Information for Obtaining Results of Studies Pending at Discharge* is missing, the case will be rejected. Stop abstracting for TR-1.

**11. Does the transition record include a plan for the patient's follow-up care?
(Plan for Follow-up Care)**

_____ Yes

_____ No

- a. If *Plan for Follow-up Care* equals Yes, proceed to *Primary Physician, Other Healthcare Professional, or Site Designated for Follow-up Care*.
- b. If *Plan for Follow-up Care* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Plan for Follow-up Care* is missing, the case will be rejected. Stop abstracting for TR-1.

**12. Does the transition record include the healthcare provider or site
designated for follow-up care? (Primary Physician, Other Healthcare
Professional, or Site Designated for Follow-up Care)**

_____ Yes

_____ No

- a. If *Primary Physician, Other Healthcare Professional, or Site Designated for Follow-up Care* equals Yes, proceed to *Transition Record Discussed and Provided*.
- b. If *Primary Physician, Other Healthcare Professional, or Site Designated for Follow-up Care* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Primary Physician, Other Healthcare Professional, or Site Designated for Follow-up Care* is missing, the case will be rejected. Stop abstracting for TR-1.

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13. Was the medical record discussed with and provided to the patient and/or caregiver at discharge? (*Transition Record Discussed and Provided*)

- _____ 1 Medical record was discussed with and provided to the patient and/or caregiver at discharge
- _____ 2 Medical record was not discussed with and provided to the patient and/or caregiver at discharge for one of the following reasons:
- the patient was clinically unstable
 - the patient and/or caregiver was unable to comprehend the information at discharge
- _____ 3 Medical record was not discussed with and provided to the patient and/or caregiver at discharge or Unable to Determine (UTD) from medical record documentation.
- a. If *Transition Record Discussed and Provided* equals 1 or 2, recheck *IPF Discharge Disposition*.
- b. If *Transition Record Discussed and Provided* equals 3, the case will be included (Measure Category Assignment of “D”). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Transition Record Discussed and Provided* is missing, the case will be rejected. Stop abstracting for TR-1.

14. Was the patient’s discharge disposition discussed with and provided to the patient and/or caregiver at discharge? (*IPF Discharge Disposition*) _____

- a. If *IPF Discharge Disposition* equals 1, proceed to step 15 to recheck *Transition Record Discussed and Provided*.
- b. If *IPF Discharge Disposition* equals 2, proceed to step 16, *Four Elements Discussed with Receiving Inpatient Facility*.

15. Was the patient’s transition record discussed with and provided to the patient and/or caregiver at discharge? (*Transition Record Discussed and Provided*) _____

- a. If *Transition Record Discussed and Provided* equals 1, the case will be included in the numerator (Measure Category Assignment of “E”). Stop abstracting. Add 1 to both the numerator and denominator for TR-1.
- b. If *Transition Record Discussed and Provided* equals 2, the case will be included (Measure Category Assignment of “D”). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.

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16. Were the four key elements of the transition record (at a minimum) discussed with the receiving inpatient facility? (*Four Elements Discussed with Receiving Inpatient Facility*)

_____ Yes

_____ No

- a. If *Four Elements Discussed with Receiving Inpatient Facility* equals Yes, the case will be included in the numerator (Measure Category Assignment of “E”). Stop abstracting. Add 1 to both the numerator and denominator for TR-1.
- b. If *Four Elements Discussed with Receiving Inpatient Facility* equals No, the case will be included (Measure Category Assignment of “D”). Stop abstracting. Add 1 to the denominator for TR-1. Add 0 to the numerator for TR-1.
- c. If *Four Elements Discussed with Receiving Inpatient Facility* is missing, the case will be rejected. Stop abstracting for TR-1.

TR-2

1. Determine numerator and denominator for TR-2.

- a. If the case is excluded for TR-1 (Measure Category Assignment of “B”), it will not be in sub-measure TR-2. Add 0 to the numerator and denominator for TR-2. Stop abstracting.
- b. If the case is included in the denominator only in TR-1 (Measure Category Assignment of “D”), stop abstracting. Add 1 to the denominator for TR-2. Add 0 to the numerator for TR-2.
- c. If the case is rejected for TR-1 (Measure Category Assignment of “X”), the case will be rejected for TR-2. Stop abstracting for TR-2.
- d. If the case is included in the numerator and denominator for TR-1 (Measure Category Assignment of “E”), proceed to *IPF Discharge Date and Time*.

2. Does the medical record include the date and time the patient was discharged from the IPF? (*IPF Discharge Date and Time*)

_____ Yes

_____ No

- a. If *IPF Discharge Date and Time* equals Yes, proceed to *Transition Record Transmission Date and Time*.

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- b. If *IPF Discharge Date and Time* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-2. Add 0 to the numerator for TR-2.
- c. If *IPF Discharge Date and Time* is missing, the case will be rejected. Stop abstracting for TR-2.

3. Does the medical record include the date and time the transition record was transmitted to the next level of care provider? (*Transition Record Transmission Date and Time*)

_____ Yes

_____ No

- a. If *Transition Record Transmission Date and Time* equals Yes, proceed to *Transmission within 24 Hours of IPF Discharge*.
- b. If *Transition Record Transmission Date and Time* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-2. Add 0 to the numerator for TR-2.
- c. If *Transition Record Transmission Date and Time* is missing, the case will be rejected. Stop abstracting for TR-2.

4. Is there documentation in the medical record to indicate that the transition record was transmitted within 24 Hours of the patient's discharge from the IPF? (*Transmission within 24 Hours of IPF Discharge*)

_____ Yes

_____ No

- a. If *Transmission within 24 Hours of IPF Discharge* equals Yes, proceed to *Method of Transition Record Transmission*.
- b. If *Transmission within 24 Hours of IPF Discharge* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TR-2. Add 0 to the numerator for TR-2.
- c. If *Transmission within 24 Hours of IPF Discharge* is missing, the case will be rejected. Stop abstracting for TR-2.

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5. Is the method used to transmit the transition record to the next level of care provider documented in the medical record? (*Method of Transition Record Transmission*)

_____ Yes

_____ No

- a. If *Method of Transition Record Transmission* Yes, the case will be included in the numerator (Measure Category Assignment of “E”). Stop abstracting. Add 1 to both the numerator and denominator for TR-2.
- b. If *Method of Transition Record Transmission* equals No, the case will be included (Measure Category Assignment of “D”). Stop abstracting. Add 1 to the denominator for TR-2. Add 0 to the numerator for TR-2.
- c. If *Method of Transition Record Transmission* is missing, the case will be rejected. Stop abstracting for TR-2.

Determine whether the patient is included in the numerator and denominator count.

Patient Level – TR-1

_____ Numerator

_____ Denominator

Patient Level – TR-2

_____ Numerator

_____ Denominator