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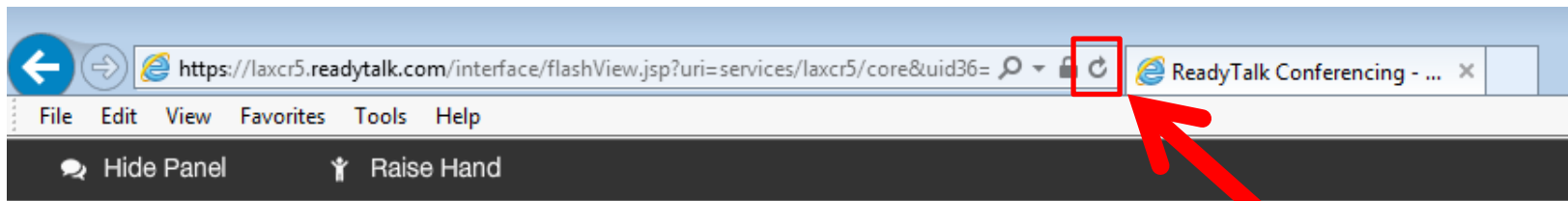
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 F5 Key
Top Row of Keyboard

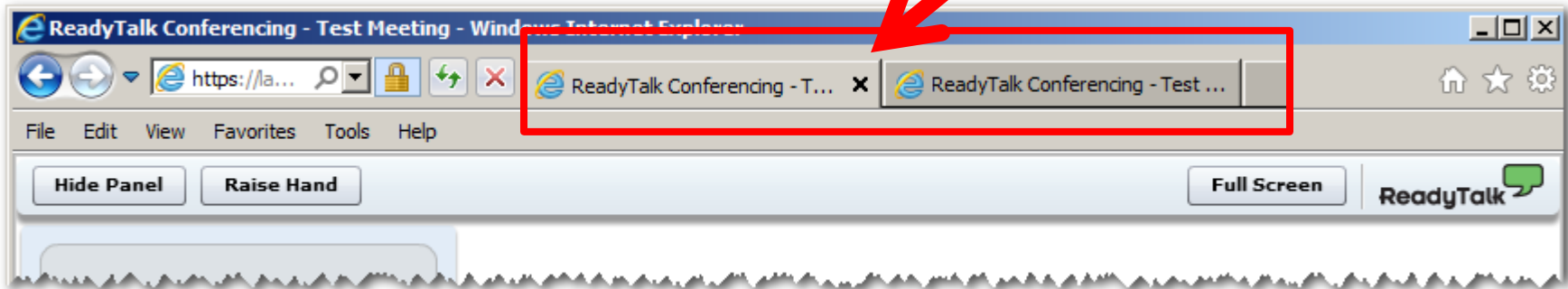


Location of Buttons

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Troubleshooting Echo

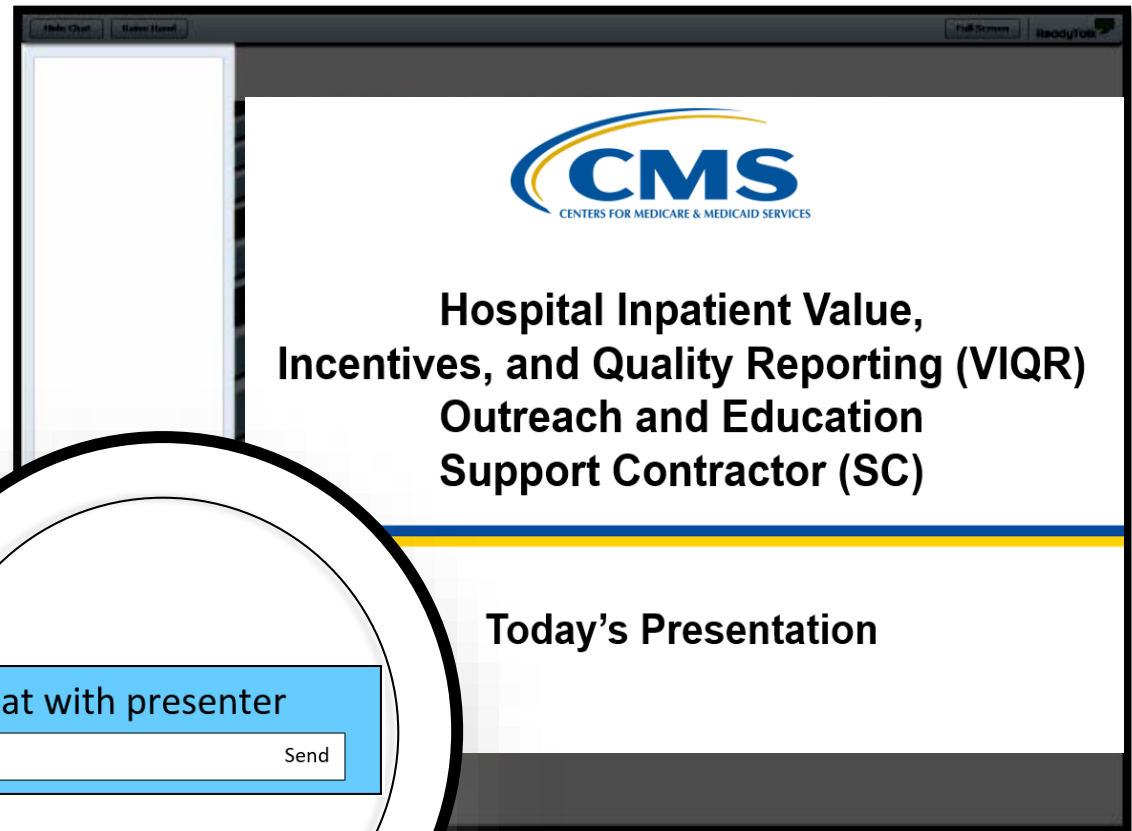
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**Hospital IQR Program
CY 2017 (FY 2020 Payment Determination)
eCQM Validation Overview for Selected Hospitals**

May 15, 2018

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Purpose

The purpose is to educate and share information regarding the Centers for Medicare & Medicaid Services (CMS) Hospital IQR Program electronic clinical quality measure (eCQM) data validation process for fiscal year (FY) 2020, which validates calendar year (CY) 2017 data.

Objectives

Participants will be able to:

- Understand the Hospital IQR Program eCQM data validation process for CY 2017 eCQM data validation, impacting FY 2020 payment determination
- Identify the deadlines and associated required activities relating to CY 2017 eCQM data validation for FY 2020 payment determination
- Submit medical records requested by the CMS Clinical Data Abstraction Center (CDAC)
- Receive and interpret validation results

Acronyms and Abbreviations

AMI	Acute Myocardial Infarction	EHR	Electronic Health Record
APU	Annual Payment Update	FY	Fiscal Year
CAC	Children's Asthma Care	ID	Identification
CCN	CMS Certification Number	IQR	Inpatient Quality Reporting
CDAC	Clinical Data Abstraction Center	NQF	National Quality Forum
CEO	Chief Executive Officer	PC	Perinatal Care
CMS	Centers for Medicare & Medicaid Services	PCI	Percutaneous Coronary Intervention
CY	Calendar Year	PDF	Portable Document Format
ECE	Extraordinary Circumstances Exception	QRDA	Quality Reporting Document Architecture
eCQM	Electronic Clinical Quality Measure	STK	Stroke
ED	Emergency Department	VSC	Validation Support Contractor
EHDI	Early Hearing and Detection Intervention	VTE	Venous Thromboembolism

eCQM Validation Process



Synopsis

- CMS assesses the accuracy of eCQM data submitted to the Hospital IQR Program through the annual validation process. This process has been ongoing for many years for chart-abstracted measures.
- This spring and summer 2018, CMS will begin validation of CY 2017 eCQM data submitted to the CMS Clinical Warehouse. The eCQM validation program verifies that hospital eCQM data submitted to the clinical warehouse meet the measure intent.

Synopsis (Cont.)

- Each year, CMS will only require hospitals to participate in one of the two inpatient validation programs: chart-abstracted validation or eCQM validation.
- 200 hospitals will be randomly selected in the spring of 2018 to participate in the eCQM validation program in FY 2020.
- In the first year of validation, the accuracy of eCQM data assessed will not impact the pass-fail determination for eCQM validation used to determine the Hospital IQR Program annual payment update (APU).

eCQM CY 2017 Discharges

EHR-Based Clinical Process of Care Measures (eCQMs)			
Short Name	Measure Name	National Quality Forum (NQF) #	CMS #
AMI-8a	Primary PCI Received Within 90 Minutes of Hospital Arrival		53
CAC-3	Home Management Plan of Care Document Given to Patient/Caregiver		26
ED-1	Median Time from ED Arrival to ED Departure for Admitted ED Patients	0495	55
ED-2	Admit Decision Time to ED Departure Time for Admitted Patients	0497	111
EHDI-1a	Hearing Screening Prior to Hospital Discharge	1354	31
PC-01	Elective Delivery	0469	113
PC-05	Exclusive Breast Milk Feeding	0480	9
STK-02	Discharged on Antithrombotic Therapy	0435	104
STK-03	Anticoagulation Therapy for Atrial Fibrillation/Flutter	0436	71
STK-05	Antithrombotic Therapy by the End of Hospital Day Two	0438	72
STK-06	Discharged on Statin Medication	0439	105
STK-08	Stroke Education		107
STK-10	Assessed for Rehabilitation	0441	102
VTE-1	Venous Thromboembolism Prophylaxis	0371	108
VTE-2	Intensive Care Unit Venous Thromboembolism Prophylaxis	0372	190

Hospital to Submit Data for One Quarter

Hospitals selected for eCQM validation will be validated for the most recently submitted calendar quarter, regardless of the number of quarters submitted.

eCQM Validation Quarters for FY 2020
First quarter 2017 (Jan–March 2017)
Second quarter 2017 (April–June 2017)
Third quarter 2017 (July–Sep 2017)
Fourth quarter 2017 (Oct–Dec 2017)

Hospital Selection

- Random hospital selection
 - 200 hospitals will be selected in May 2018
- Hospital exclusion criteria
 - Fewer than five discharges for one eCQM
 - Selected for chart-abstracted data validation
 - eCQM extraordinary circumstances exception (ECE) approved waivers

Notification of Hospital Selection

Annual notification of hospital selection occurs in various ways:

- News article posted on *QualityNet*, along with the list of selected hospitals
- ListServe released to notify community that selection has occurred
- Email communication from the VSC sent directly to the hospitals selected

Notification of Selection

- Hospitals selected for the Hospital IQR Program eCQM validation are notified by email communication. This communication is sent to the following hospital contact types listed within the official CMS contact database:
 - Chief Executive Officer
 - Hospital IQR
 - Medical Records
 - Quality Improvement
- The VSC monitors email communications sent to assure that all hospitals are notified of selection. Any bounce-backs are researched, and hospital contacts updated in the CMS system, to assure that future notifications are received.

Selected Hospital List

The list of selected hospitals will be found on www.qualitynet.org by hovering over the **Hospitals–Inpatient** drop-down and selecting the **[Data Validation (Chart-Abstracted & eCQMs)]** link, followed by selecting the **[eCQM Data Validation]** program. The list is located on the upper-right side of the screen within a box titled, “Hospitals Selected for Inpatient eCQM Data Validation.”

The screenshot shows the QualityNet website interface. At the top, there is a blue header with the QualityNet logo, a search bar, and a "Log In" button. Below the header is a navigation menu with tabs for "Home", "My QualityNet", and "Help". The main navigation area contains several dropdown menus: "Hospitals - Inpatient", "Hospitals - Outpatient", "Physician Offices", "Ambulatory Surgical Centers", "PPS-Exempt Cancer Hospitals", "ESRD Facilities", "Inpatient Psychiatric Facilities", and "Quality Improvement".

The "Hospitals - Inpatient" dropdown menu is open, showing a list of options. The "Data Validation (Chart-Abstracted & eCQMs)" option is highlighted. Below this, the "eCQM Data Validation" program is selected, showing an overview of the process. A red arrow points to a box on the right side of the screen titled "Hospitals Selected for Inpatient eCQM Data Validation", which contains links to "FY 20xx List, PDF" and "FY 20xx List, XLSX".

Update Contact Information

- Regularly update hospital contact information to ensure receipt of eCQM validation-related communications and reminders.
- Hospitals may check and update contacts by sending an email with their six-digit CMS Certification Number (CCN)/Provider ID number to the Hospital Inpatient SC at QRSupport@hcqis.org.

Case Selection

- CMS to select two cases at random from each of the four measures reported.
- If fewer than two cases are available for a given measure, more than two will be selected from another measure, not to exceed a total of eight cases selected.
- Case selection is limited to those for which the denominator eligibility criteria are met, as reported by the hospital.

Medical Records Request

- The CDAC will send hospitals a written request to “Medical Records Director,” using FedEx, to submit a patient medical record for each case that CMS selected for eCQM validation.
- It is important that the packet be routed to the correct individual(s) responsible for fulfilling the request as soon as possible and before the medical record submission deadline.



IMPORTANT NOTE: The medical records request will be delivered to the address listed under the Medical Records contact type in the official CMS contact database. Hospitals may check the address and make updates to the address by sending an email with their six-digit CCN/Provider ID to the Hospital Inpatient SC at QRSupport@hcqis.org.

Medical Records Request

Case Selection Report

A hospital's list of cases selected for eCQM validation, including all available patient identifiers, will be accessed via the *QualityNet Secure Portal* by a registered user with the appropriate reports role.

To access the report:

1. Log in to the ***QualityNet Secure Portal***
2. Select **My Reports**, then **Run Reports**
3. Select the **Run Reports** tab
4. Under Report Program, select **IQR**
5. Under Report Category, select **Hospital Reporting–Data Validation Reports**
6. Click the **View Reports** box
7. Under Report Name, select **Hospital Data Validation–eCQM Case Selection Report**

Medical Records Request

Prior to Submission

- Hospitals are not allowed to send records or additional documentation after the record has been received by the CDAC; this applies even if the wrong record is sent, or if pages are missing, are illegible, etc. The CDAC will abstract every case with the applicable documentation that the hospital **originally** sent.
- All records should be carefully reviewed prior to submitting them to the CDAC.
 - Consider having an abstractor review your records prior to submitting as they are most familiar with the location of the information needed for abstraction.
 - **It is especially important to include all information from an electronic health record (EHR) used for abstraction in the Portable Document Format (PDF) file submitted to the CDAC.**

Medical Records Request

Submission

- Hospitals have until the date listed on the request to send their records to the CDAC.
- Hospitals must submit medical records as PDF files via the *QualityNet Secure Portal* Secure File Transfer application.
- **Inpatient records must be received within 30 days of the request date. For FY 2020 payment determination, this criterion is what determines whether a hospital passes or fails validation.**
- Detailed instructions on how to submit medical records are provided within the packet delivered by the CDAC.

Medical Records Request

Additional Information

Additional information about the request for medical records can be found on *QualityNet* by hovering over the **Hospitals–Inpatient** drop-down and selecting the **[Data Validation (Chart-Abstracted & eCQM)]** link, followed by selecting the **[eCQM Data Validation]** program. From the navigation bar on the left side of the eCQM Data Validation-Overview page, select **[CDAC Information]** (direct link):

<https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1228776288801>.

Receipt of Results

- Hospital staff will receive an email notification when eCQM Validation Case Detail and eCQM Validation Summary Reports are available for download from the *QualityNet Secure Portal*.
- The reports, which provide the validation results of abstraction and the educational comments, are anticipated to be released in the fall 2018.

FY 2020 Payment Determination

- CMS will notify hospitals whether they passed or failed eCQM validation via targeted emails.
- For payment determination, the accuracy of eCQM data and the validation of measure reporting will not affect payment.
- Hospitals will pass or fail validation, based on the timely and complete submission of at least 75 percent of the records CMS requested. For example, if eight medical records are requested, at least six complete medical records must be submitted to meet the 75 percent requirement. If a hospital does not meet the overall validation requirement, the hospital will not be eligible to receive the full APU.

FY 2020 Payment Determination (Cont.)

- Hospital IQR Program Phase 1 FY 2020 APU notifications are expected to be sent to those hospitals that do not meet the eCQM validation requirements in spring 2019.
- At that time, such hospitals would have the opportunity to request reconsideration.

Reconsiderations

- Hospitals that are notified in spring 2019 through the Hospital IQR Program Phase 1 FY 2020 APU determination process that they did not meet the eCQM validation requirement and risk not receiving their full APU will have the opportunity to request reconsideration from CMS through the regular Hospital IQR Program reconsideration process.

- Additional information about reconsiderations can be found on *QualityNet* by hovering over the **Hospitals–Inpatient** drop-down and selecting “Hospital Inpatient Quality Reporting Program,” followed by selecting **[APU Reconsideration]** from the left-side navigation pane (direct link):

<https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1184627418989>.

eCQM Validation Reports

- eCQM Case Selection Report
 - Displays the patient-identifying information pertaining to the cases selected for eCQM validation. The cases on this report are the same cases as outlined within the medical records request packet sent by the CDAC.
- eCQM Validation Summary Report
 - Provides a high-level summary of the validation-reliability rate for each abstracted case. Summary becomes available after hospital receives results for the validation.
- eCQM Validation Case Detail Report
 - Provides a list of all elements abstracted compared to the CDAC re-abstraction on each case. Case detail becomes available after hospital receives eCQM validation results.

eCQM Case Selection Report

- Lists hospital's cases selected for eCQM validation, including all available patient identifiers.
- Displays the **Medical Record Request Date**, the **Due to CDAC Date**, and the **Record Received Date** (after the CDAC has received hospital's records).
- Please note, it could take up to 24 hours for the **Record Received Date** to populate. To verify receipt of records, contact the CDAC directly via email at CDACHelpdesk@hcqis.org or by phone at (717) 718-1230, ext. 201.

Hospital Reporting - Data Validation: eCQM Case Selection Report - Inpatient									
Provider: 999999									
Fiscal Year: 2020 (1Q17, 2Q17, 3Q17, 4Q17)									
Patient Identifier	Patient Name ¹	DOB	Admit Date	Discharge Date	eCQM Measure ²	Abstraction Control Number	Medical Record Request Date	Due to CDAC Date	Record Received Date
1000000001	MENNA, FRANK	01/01/1950	10/08/1998	10/08/1998	1000000001	1000000001	10/08/1998	11/09/1998	11/04/1998
1000000002	MENNA, FRANK	01/01/1950	10/08/1998	10/08/1998	1000000002	1000000002	10/08/1998	11/09/1998	10/27/1998
1000000003	SMITH, JEFF	01/01/1950	10/08/1998	10/08/1998	1000000003	1000000003	10/08/1998	11/09/1998	10/27/1998
1000000004	MENNA, FRANK	01/01/1950	10/08/1998	10/08/1998	1000000004	1000000004	10/08/1998	11/09/1998	11/06/1998
1000000005	SMITH, JEFF	01/01/1950	10/08/1998	10/08/1998	1000000005	1000000005	10/08/1998	11/09/1998	11/04/1998
1000000006	SMITH, JEFF	01/01/1950	10/08/1998	10/08/1998	1000000006	1000000006	10/08/1998	11/09/1998	11/05/1998

NOTE: Grey spaces indicate areas of possible personally identifiable information (PII)/personal health information (PHI), which could be found on actual report.

eCQM Validation Summary Report

The eCQM Validation Summary Report lists each validated case with its score, organized by measure.

Hospital Data Validation: eCQM Validation Summary Report - Inpatient					
Provider: 999999					
Fiscal Year: 2020 (1Q17, 2Q17, 3Q17, 4Q17)					
eCQM Measure	Abstraction Control Number	Patient Identifier	Admit Date	Discharge Date	Case Reliability
This space intentionally left blank.					0/2
					0/2
					0/2
					0/2
					0/2
					0/2
					0/2
					0/2

NOTE: Blank space indicates area of possible PII/PHI, which could be found on actual report.

eCQM Validation Case Detail Report

- Lists all abstracted elements compared to the CDAC re-abstraction on each case.
- Mismatches and the associated educational comments from the CDAC are displayed in red font.

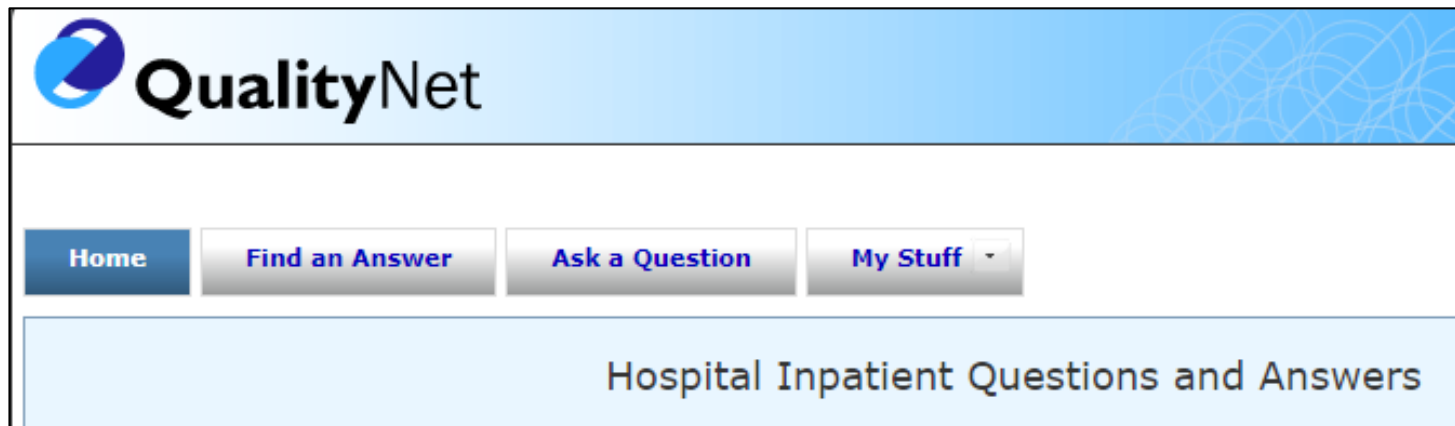
Hospital Reporting - Data Validation: eCQM Case Detail Report - Inpatient			
Provider: 999999 Fiscal Year: 2020 (1Q17, 2Q17, 3Q17, 4Q17)			
Abstraction Control Number: [REDACTED]		Case Status: Complete	
Patient ID: [REDACTED]		Comments:	
eCQM Measure: [REDACTED]			
Admit Date: [REDACTED]			
Discharge Date: [REDACTED]			
Individual Case Reliability Rate: (/)			
Measure:	Original Outcome*	Validated Outcome*	Result
[REDACTED]	[REDACTED]	[REDACTED]	Match
[REDACTED]	[REDACTED]	[REDACTED]	Mismatch

NOTE: Black boxes indicate areas of possible PII/PHI, which could be found on actual report.

Validation eCQM Case Detail Report

Inquiries

- Result-specific inquiries must be submitted within 30 days of the validation results being posted on the My Reports section of the *QualityNet Secure Portal*.
- Inquiries may be submitted via:
 - VSC email at validation@hcqis.org
 - CMS Hospital Inpatient Questions and Answers tool at <https://cms-ip.custhelp.com>



Resources

- eCQM validation resources are available on *QualityNet*, including:
 - eCQM Validation Fact Sheet document
 - Important Dates and Deadlines
- To access these resources:
 - Hover over the **Hospitals–Inpatient** drop-down and select the **[Data Validation (Chart-Abstracted & eCQMs)]** link, followed by selecting the **[eCQM Data Validation]** program. From the navigation bar on the left side of the eCQM Data Validation-Overview page, select **[Resources]**:
<https://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier3&cid=1228776288909>.
- Contact VSC:
 - By email at validation@hcqis.org
 - When submitting a question, include the hospital six-digit CCN/Provider ID
 - Expedites a reply with information specific to your hospital
- For assistance with *QualityNet*, including logging in, contact the *QualityNet* Help Desk:
 - By telephone, 7 a.m.–7 p.m. CT, Monday–Friday at (866) 288-8912
 - By email at qnet support@hcqis.org

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Questions

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