This measure abstraction paper tool is provided as an optional, informal mechanism to aid psychiatric facilities and hospital psychiatric units in the collection of the measures for the Centers for Medicare & Medicaid Services (CMS) Inpatient Psychiatric Facility Quality Reporting (IPFQR) Program. The tool is designed to collect patient-specific data; however, once abstracted, the data will need to be compiled and reported to CMS in aggregate. If there are any questions or concerns regarding use of this measure abstraction paper tool, please contact the IPFQR Program Support Contractor at IPFQualityReporting@hcgis.org.

The TOB-1 measure was removed from the IPFQR Program as finalized in the Fiscal Year (FY) 2019 Inpatient Psychiatric Facility Prospective Payment Services Final Rule for FY 2020 and subsequent payment determination years.

TOB-1 guidance is included in this abstraction paper tool only to assist with the data collection process for the TOB-2 / -2a and TOB-3 / -3a measures.

TOB-1 will **no longer** be reported to CMS.

Birth Date: / / / Unable to determine (UTD) is not an allowable entry.

Patient Identifier:

Admission Date: / / / / UTD is not an allowable entry.

Discharge Date: / / / / UTD is not an allowable entry.

Individual Medical Record Data Collection Tool

During review of the record, the abstractor will be prompted to enter a 0 or a 1 for both the numerator and denominator for the measure below.

Patient Level – TOB-1

Numerator

Denominator

Patient Level – TOB-2

_____ Numerator

Denominator

Patient Level – TOB-2a

_____ Numerator

Denominator

Patient Level – TOB-3

_____Numerator

_____ Denominator

Patient Level – TOB-3a

_____ Numerator

_____ Denominator

The information from each medical record will be used to determine the numerator and denominator, which will be aggregated for annual entry into the *QualityNet Secure Portal*.

TOB-1

- 1. What is the patient's age? Patient Age (in years) is calculated by Admission Date minus Birth Date:
 - If *Patient Age* is fewer than 18 years, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-1. Add 0 to the numerator and denominator for TOB-1.
 - If Patient Age is 18 years of age or greater, proceed to Length of Stay.
- 2. What is the length of stay? Length of Stay (in days) equals Discharge Dateminus Admission Date: _____
 - If *Length of Stay* is less than or equal to one day, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-1. Add 0 to the numerator and denominator for TOB-1.
 - If Length of Stay is greater than one day, proceed to Comfort Measures Only.
- 3. When is the earliest physician, advanced practice nurse (APN), or physician assistant (PA) documentation of comfort measures only? (*Comfort Measures Only*)
 - 1 Day 0 or 1: The earliest day the physician/APN/PA documented *Comfort Measures Only* was the day of arrival (Day 0) or day after arrival (Day 1).
 - ____2 Day 2 or after: The earliest day the physician/APN/PA documented Comfort

Measures Only was two or more days after arrival day (Day 2+).

- 3 Timing unclear: There is physician/APN/PA documentation of *Comfort Measures Only* during this hospital stay, but whether the earliest documentation of *Comfort Measures Only* was on Day 0 or 1 OR after Day 1 is unclear.
- 4 Not documented/UTD: There is no physician/APN/PA documentation of *Comfort Measures Only*, or unable to determine from medical record documentation.
- If *Comfort Measures Only* equals 1, 2, or 3, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-1. Add 0 to the numerator and denominator for TOB-1.
- If Comfort Measures Only equals 4, proceed to Tobacco Use Status.

4. What is the patient's tobacco use status? (Tobacco Use Status)

- _____1 The patient has during the past 30 days:
 - smoked, on average, five or more cigarettes (≥ ¼ pack) daily, and/or
 - smoked cigars and/or pipes daily.
- _____2 The patient has during the past 30 days:
 - smoked, on average, four or fewer cigarettes (< 1/4 pack) daily, and/or
 - smoked cigarettes, cigars and/or pipes, but not daily, and/or
 - used smokeless tobacco, regardless of frequency.
 - 3 The patient has not used any forms of tobacco in the past 30 days.
- 4 The patient refused the tobacco use screen within the first day of admission (by the end of Day 1).
- 5 The patient was not screened for tobacco use within the first day of admission (by the end of Day 1) or unable to determine the patient's tobacco use status from medical record documentation.
- 6 The patient was not screened for tobacco use within the first day of admission (by the end of Day 1) because of cognitive impairment.
- If *Tobacco Use Status* equals 6, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-1. Add 0 to the numerator and denominator for TOB-1.
- If *Tobacco Use Status* equals 5, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-1. Add 0 to the numerator for TOB-1.

• If *Tobacco Use Status* equals 1, 2, 3, or 4, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-1.

TOB-2/-2a and TOB-3/-3a measures are IPFQR requirements and **must** be reported to CMS.

TOB-2

- 1. What is the patient's age? Patient Age (in years) is calculated by Admission Date minus Birth Date:
 - If *Patient Age* is fewer than 18 years, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-2. Add 0 to the numerator and denominator for TOB-2.
 - If Patient Age is 18 years of age or greater, proceed to Length of Stay.
- 2. What is the length of stay? Length of Stay (in days) equals Discharge Dateminus Admission Date: _____
 - If *Length of Stay* is less than or equal to one day, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-2. Add 0 to the numerator and denominator for TOB-2.
 - If Length of Stay is greater than one day, proceed to Comfort Measures Only.
- 3. When is the earliest physician, APN, or PA documentation of comfort measures only?

(Comfort Measures Only) Enter value from TOB-1:

- If *Comfort Measures Only* equals 1, 2, or 3, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-2. Add 0 to the numerator and denominator for TOB-2.
- If Comfort Measures Only equals 4, proceed to Tobacco Use Status.
- 4. What is the patient's tobacco use status? (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 3, 4, 5 or 6, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting. The case will not be included in the numerator or denominator for TOB-2. Add 0 to the numerator and denominator for TOB-2.
 - If Tobacco Use Status equals 1 or 2, proceed to Tobacco Use Treatment Practical Counseling.

5. Did the patient receive all the components of practical counseling (recognizing danger situations, developing coping skills, and providing basic information about quitting) during the hospital stay?

(Tobacco Use Treatment Practical Counseling)

- 1 The patient received all components of practical counseling during the hospital stay.
- 2 The patient refused/declined practical counseling during the hospital stay.
- 3 Practical counseling was not offered to the patient during the hospital stay or unable to determine if tobacco use treatment was provided from medical record documentation.
- If *Tobacco Use Treatment Practical Counseling* equals 3, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-2. Add 0 to the numerator for TOB-2.
- If Tobacco Use Treatment Practical Counseling equals 1 or 2, proceed to ICD- 10-CM Principal or Other Diagnosis Codes.
- 6. What was the ICD-10-CM code selected as the principal diagnosis for this record? (ICD-10-CM Principal Diagnosis Code): ____
- 7. Were there ICD-10-CM Other Diagnosis Codes selected for this medical record? (ICD-10-CM Other Diagnosis Codes): ____
 - If none of the codes above are on Table 12.3, proceed to recheck *Tobacco Use Status.*
 - If at least one code above is on Table 12.3, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2.
- 8. What is the patient's tobacco use status? (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 2, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2.
 - If Tobacco Use Status equals 1, proceed to Tobacco Use Treatment FDA- Approved Cessation Medication.

9. Did the patient receive one of the FDA-approved tobacco cessation medications during the hospital stay?

(Tobacco Use Treatment FDA-Approved Cessation Medication)

- 1 The patient received one of the FDA-approved tobacco cessation medications during the hospital stay.
- 2 The patient refused the FDA-approved tobacco cessation medications during the hospital stay.
- 3 FDA-approved tobacco cessation medications were not offered to the patient during the hospital stay or unable to determine from medical record documentation.
- If Tobacco Use Treatment FDA-Approved Cessation Medication equals 1 or 2, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2. Proceed to TOB-2a.
- If Tobacco Use Treatment FDA-Approved Cessation Medication equals 3, proceed to Reason for No Tobacco Cessation Medication During the Hospital Stay.

10. Is there documentation of a reason for not administering one of the FDAapproved tobacco cessation medications during the hospital stay?

(Reason for No Tobacco Cessation Medication During the Hospital Stay)

- Yes There is documentation of a reason for not administering an FDAapproved cessation medication during the hospital stay.
- No There is no documentation of a reason for not administering an FDAapproved cessation medication during the hospital stay or unable to determine from medical record documentation.
- If *Reason for No Tobacco Cessation Medication During the Hospital Stay* equals No, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-2. Add 0 to the numerator for TOB-2.
- If *Reason for No Tobacco Cessation Medication During the Hospital Stay* equals Yes, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2. Proceed to TOB-2a.

TOB-2a

1. Determine numerator and denominator for TOB-2a

- If the case is excluded for TOB-2 (Measure Category Assignment of "B"), it will not be in sub-measure TOB-2a. Stop abstracting. Add 0 to the numerator and denominator for TOB-2a.
- If the case is included in TOB-2 (Measure Category Assignment of "D" or "E"), recheck *Tobacco Use Treatment Practical Counseling.*

CMS Abstraction Paper Tool – TOB-1, TOB-2/-2a, TOB-3/-3a Discharges 01-01-18 (Q1 2018) through 06-30-18 (Q2 2018)

- 2. Did the patient receive all the components of practical counseling (recognizing danger situations, developing coping skills, and providing basic information about quitting) during the hospital stay? (Tobacco Use Treatment Practical Counseling) Enter value from TOB-2:
 - If *Tobacco Use Treatment Practical Counseling* equals 2 or 3, the case will be included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-2a. Add 0 to the numerator for TOB-2a.
 - If Tobacco Use Treatment Practical Counseling equals 1, recheck ICD-10-CM Principal or Other Diagnosis Codes.
- 3. What was the ICD-10-CM code selected as the principal diagnosis for this record? (ICD-10-CM Principal Diagnosis Code):
- 4. Were there ICD-10-CM Other Diagnosis Codes selected for this medical record? (ICD-10-CM Other Diagnosis Codes):
 - If none of the codes above are on Table 12.3, recheck *Tobacco Use Status*.
 - If at least one code above is on Table 12.3, the case will be included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2a.
- 5. What is the patient's tobacco use status? (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 2, the case is included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2a.
 - If Tobacco Use Status equals 1, proceed to Tobacco Use Treatment FDA-Approved Cessation Medication.
- 6. Did the patient receive one of the FDA-approved tobacco cessation medications during the hospital stay? (Tobacco Use Treatment FDA-Approved Cessation Medication) Enter value from TOB-2: ___
 - If *Tobacco Use Treatment FDA-Approved Cessation Medication* equals 1, the case is included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2a.
 - If *Tobacco Use Treatment FDA-Approved Cessation Medication* equals 2, the case is included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-2a. Add 0 to the numerator for TOB-2a.
 - If Tobacco Use Treatment FDA-Approved Cessation Medication equals 3, proceed to Reason for No Tobacco Cessation Medication During the Hospital Stay.

- 7. Is there documentation of a reason for not administering one of the FDAapproved tobacco cessation medications during the hospital stay? (Reason for No Tobacco Cessation Medication During the Hospital Stay) Enter value from TOB-2: ____
 - If Reason for No Tobacco Cessation Medication During the Hospital Stay equals No, the case is included (Measure Category Assignment of "D"). Stop abstracting. Add 1 to the denominator for TOB-2a. Add 0 to the numerator for TOB-2a.
 - If Reason for No Tobacco Cessation Medication During the Hospital Stay equals Yes, the case is included (Measure Category Assignment of "E"). Stop abstracting. Add 1 to BOTH the numerator and denominator for TOB-2a.

TOB-3

- 1. What is the patient's age? Patient Age (in years) is calculated by Admission Date minus Birth Date:
 - If *Patient Age* is fewer than 18 years, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
 - If Patient Age is 18 years of age or greater, proceed to Length of Stay.
- 2. What is the length of stay? Length of Stay (in days) equals Discharge Dateminus Admission Date: _____
 - If the *Length of Stay* is less than or equal to one day, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
 - If the Length of Stay is greater than one day, proceed to Comfort Measures Only.
- 3. When is the earliest physician, APN, or PA documentation of comfort measures only?

(Comfort Measures Only)

- 1 Day 0 or 1: The earliest day the physician/APN/PA documented *Comfort Measures Only* was the day of arrival (Day 0) or day after arrival (Day 1).
- _____2 Day 2 or after: The earliest day the physician/APN/PA documented *Comfort Measures Only* was two or more days after arrival day (Day 2+).
- 3 Timing unclear: There is physician/APN/PA documentation of *Comfort Measures Only* during this hospital stay, but whether the earliest documentation of *Comfort Measures Only* was on Day 0 or 1 OR after Day 1 is unclear.

- 4 Not documented/UTD: There is no physician/APN/PA documentation of *Comfort Measures Only*, or unable to determine from medical record documentation.
- If *Comfort Measures Only* equals 1, 2, or 3, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
- If Comfort Measures Only equals 4, proceed to Tobacco Use Status.
- **4. What is the patient's tobacco use status?** (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 3, 4, 5 or 6, the case will be excluded. (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
 - If Tobacco Use Status equals 1 or 2, proceed to Discharge Disposition.
- 5. What was the patient's discharge disposition on the day of discharge? (*Discharge Disposition*)
 - _____1 Home
 - _____2 Hospice home
 - _____3 Hospice healthcare facility
 - _____4 Acute care facility
 - _____5 Other healthcare facility
 - 6 Expired
 - 7 Left against medical advice/AMA
 - 8 Not documented or unable to determine (UTD)
 - If *Discharge Disposition* equals 2, 3, 4, 5, 6, or 7, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
 - If Discharge Disposition equals 1 or 8, proceed to Referral for Outpatient Tobacco Cessation Counseling.
- 6. Did the patient receive a referral for outpatient tobacco cessation counseling? (Referral for Outpatient Tobacco Cessation Counseling)
 - 1 The *Referral for Outpatient Tobacco Cessation Counseling* treatment was made by the healthcare provider or healthcare organization at any time prior to discharge.

- 2 Referral information was given to the patient at discharge but the appointment was not made by the provider or healthcare organization prior to discharge.
- 3 The patient refused the *Referral for Outpatient Tobacco Cessation Counseling* treatment and the referral was not made.
- _____4 The patient's residence is not in the USA.
- 5 The *Referral for Outpatient Tobacco Cessation Counseling* treatment was not offered at discharge or unable to determine from the medical record documentation.
- If *Referral for Outpatient Tobacco Cessation Counseling* equals 4, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
- If *Referral for Outpatient Tobacco Cessation Counseling* equals 2 or 5, the case will be included (Measure Category Assignment of "D"). Add 1 to the denominator for TOB-3. Add 0 to the numerator for TOB-3.
- If *Referral for Outpatient Tobacco Cessation Counseling* equals 1 or 3, proceed to ICD-10-CM Principal or Other Diagnosis Codes.
- 7. What was the ICD-10-CM code selected as the principal diagnosis for this record? (*ICD-10-CM Principal Diagnosis Code*): ______
- 8. Were there ICD-10-CM Other Diagnosis Codes selected for this medical record? (ICD-10-CM Other Diagnosis Codes): _____
 - If none of the codes above are on Table 12.3, recheck *Tobacco Use Status*.
 - If at least one code above is on Table 12.3, the case will be included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3.
- 9. What is the patient's tobacco use status? (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 2, the case will be included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3.
 - If Tobacco Use Status equals 1, check Prescription for Tobacco Cessation Medication.

10. Was an FDA-approved tobacco cessation medication prescribed at discharge?

(Prescription for Tobacco Cessation Medication)

- If *Prescription for Tobacco Cessation Medication* equals 3, the case will be excluded (Measure Category Assignment of "B"). Stop abstracting for TOB-3. The case will not be included in the numerator or denominator count for TOB-3.
- If *Prescription for Tobacco Cessation Medication* equals 1 or 2, the case will be included (*Measure Category Assignment of "E"*). Add 1 to BOTH the numerator and denominator count for TOB-3. *Proceed to TOB-3a.*
- If Prescription for Tobacco Cessation Medication equals 4, proceed to Reason for No Tobacco Cessation Medication at Discharge.

11. Is there documentation of a reason for not prescribing one of the FDAapproved tobacco cessation medications at discharge?

(Reason for No Tobacco Cessation Medication at Discharge)

- Yes There is documentation of a reason for not prescribing an FDAapproved cessation medication at discharge.
- No There is no documentation of a reason for not prescribing an FDAapproved cessation medication at discharge or unable to determine from medical record documentation.
- If *Reason for No Tobacco Cessation Medication at Discharge* equals No, the case will be included (Measure Category Assignment of "D"). Add 1 to the denominator for TOB-3. Add 0 to the numerator for TOB-3. Proceed to TOB-3a.
- If *Reason for No Tobacco Cessation Medication at Discharge* equals Yes, the case will be included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3. Proceed to TOB-3a.

ТОВ-3а

1. Determine numerator and denominator for TOB-3a.

- If the case is excluded for TOB-3 (Measure Category Assignment of "B"), it will not be in sub-measure TOB-3a. Stop abstracting. Add 0 to the numerator and denominator for TOB-3a.
- If the case is included in TOB-3 (Measure Category Assignment of "D" or "E"), recheck *Referral for Outpatient Tobacco Cessation Counseling.*

2. Did the patient receive a referral for outpatient tobacco cessation counseling? (*Referral for Outpatient Tobacco Cessation Counseling*)

- If *Referral for Outpatient Tobacco Cessation Counseling* equals 2, 3, or 5, the case will be included (Measure Category Assignment of "D"). Add 1 to the denominator for TOB-3a. Add 0 to the numerator for TOB-3a, Stop abstracting.
- If *Referral for Outpatient Tobacco Cessation Counseling* equals 1, proceed to ICD-10-CM Principal Diagnosis or Other Diagnosis Codes.

- 3. What was the ICD-10-CM code selected as the Principal Diagnosis for this record? (ICD-10-CM Principal Diagnosis Code):
- 4. Were there ICD-10-CM Other Diagnosis Codes selected for this medical record? (ICD-10-CM Other Diagnosis Codes): _____
 - If none of the codes above are on Table 12.3, recheck *Tobacco Use Status*.
 - If at least one code above is on Table 12.3, the case will be included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3a. Stop abstracting.
- 5. What is the patient's tobacco use status? (*Tobacco Use Status*) Enter value from TOB-1: _____
 - If *Tobacco Use Status* equals 2, the case is included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3a. Stop abstracting.
 - If Tobacco Use Status equals 1, proceed to Prescription for Tobacco Cessation Medication.
- 6. Was an FDA-approved tobacco cessation medication prescribed at discharge? (*Prescription for Tobacco Cessation Medication*)
 - If *Prescription for Tobacco Cessation Medication* equals 1, the case is included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3a. Stop abstracting.
 - If *Prescription for Tobacco Cessation Medication* equals 2, the case is included (Measure Category Assignment of "D"). Add 1 to the denominator count for TOB-3a. Add 0 to the numerator count for TOB-3a. Stop abstracting.
 - If Prescription for Tobacco Cessation Medication equals 4, proceed to Reason for No Tobacco Cessation Medication at Discharge.
- 7. Is there documentation of a reason for not prescribing tobacco cessation medications at discharge? (Reason for No Tobacco Cessation Medication at Discharge). Enter value from TOB-3: _____
 - If *Reason for No Tobacco Cessation Medication at Discharge* equals No, the case is included (Measure Category Assignment of "D"). Add 1 to the denominator count for TOB-3a. Add 0 to the numerator count for TOB-3a. Stop abstracting.
 - If *Reason for No Tobacco Cessation Medication at Discharge* equals Yes, the case is included (Measure Category Assignment of "E"). Add 1 to BOTH the numerator and denominator count for TOB-3a. Stop abstracting.